



Planning & Scheduling

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Planning Problem Formalization

Today program

- **Problem Formalisation for Classical Planning**
 - conceptual model
 - state transitions
 - goals
 - initial assumptions
 - set representation (propositional logic)
 - states and actions
 - classical representation (first-order logic)
 - operators
 - planning domain and planning problem
 - some extensions



Planning deals with **selection and organization of actions** that are changing world states.

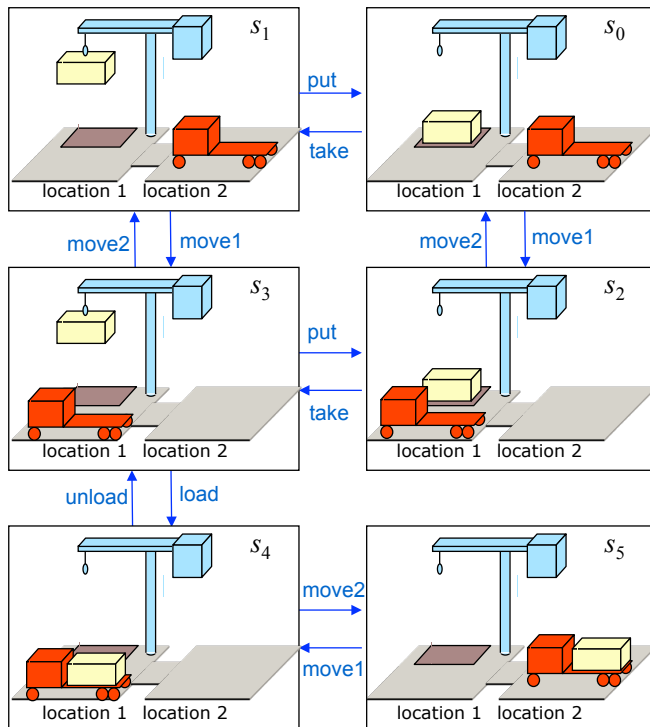
System Σ modelling states and transitions:

- **set of states S** (recursively enumerable)
- **set of actions A** (recursively enumerable)
 - actions are controlled by the planner!
 - no-op
- **set of events E** (recursively enumerable)
 - events are out of control of the planner!
 - neutral event ε
- **transition function $\gamma: S \times A \times E \rightarrow P(S)$**
 - actions and events are sometimes applied separately
 $\gamma: S \times (A \cup E) \rightarrow P(S)$

- A planning task is to find which actions are applied to world states to reach some goal from a given initial state.

What is a goal?

- **goal state** or a set of goal states
- **satisfaction of some constraint** over a sequence of visited states
 - for example, some states must be excluded or some states must be visited
- **optimisation of some objective function** over a sequence of visited states (actions)
 - for example, maximal cost or a sum of costs

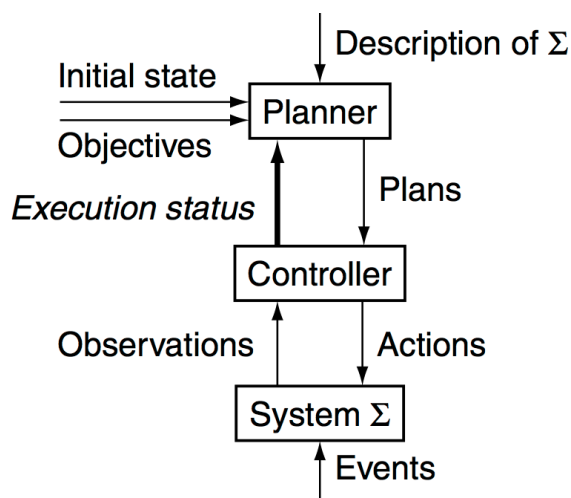


$\Sigma = (S, A, E, \gamma)$

- $S = \{s_0, \dots, s_5\}$
- $E = \{\}$ resp. $\{\varepsilon\}$
- $A = \{\text{move1, move2, put, take, load, unload}\}$
- γ : see figure

- init: s_0
- goal: s_5

How does it work?



- A **planner** generates plans
- A **controller** takes care about plan execution
 - for each state it selects an action to execute
 - observations (sensor input) are translated to world state

Dynamic planning involves re-planning when the state is not as expected.

- the system is **finite**
- the system is **fully observable**
 - We know completely the current state.
- the system is **deterministic**
 - $\forall s \in S \forall u \in (A \cup E): |\gamma(s,u)| \leq 1$
- the system is **static**
 - There are no external events.
- the **goals** are **restricted**
 - The aim is to reach one of the goal states.
- the **plans** are **sequential**
 - A plan consists of a (linearly ordered) sequence of actions.
- **time** is **implicit**
 - Actions are instantaneous (no duration is assumed)).
- **planning** is done **offline**
 - State of the world does not change during planning.



- We will work with a deterministic, static, finite, and fully observable state-transition system with restricted goals and implicit time $\Sigma = (S, A, \gamma)$.

Planning problem $P = (\Sigma, s_0, g)$:

- s_0 is the **initial state**
- g describes the **goal states**

A solution to the planning problem P is a sequence of actions $\langle a_1, a_2, \dots, a_k \rangle$ with a corresponding sequence of states $\langle s_0, s_1, \dots, s_k \rangle$ such that $s_i = \gamma(s_{i-1}, a_i)$ and s_k satisfies g

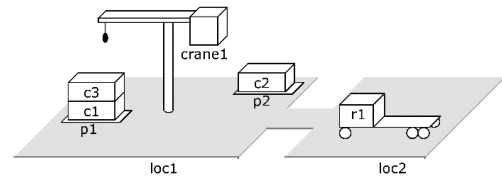
Planning in the restricted model reduces to “path finding” in the graph defined by states and state transitions.

Is it really so simple?

5 locations, 3 piles per location, 100 containers,
3 robots

↳ **10^{277} states**

This is 10^{190} times more than the largest estimate of the number of particles in the whole universe!



- **How to represent states and actions without enumerating the sets S and A ?**
 - recall 10^{277} states with respect to the number of particles in the universe
- **How to efficiently solve planning problems?**
 - How to find a path in a graph with 10^{277} nodes?

Each **state** is described using a **set of propositions** that hold at that state.

example: {onground, at2}

Each **action** is a syntactic expression describing:

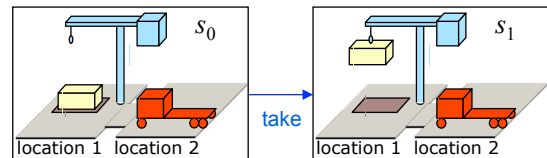
- which propositions must hold in a state so the action is applicable to that state

example: take: {onground}

- which propositions are added and deleted from the state to make a new state

example:

*take: {onground},
{holding}+*



Set representation: a planning domain

Let $L = \{p_1, \dots, p_n\}$ be a finite set of propositional symbols (language).

A planning domain Σ over L is a triple (S, A, γ) :

- $S \subseteq P(L)$, i.e. **state** s is a subset of L describing which propositions hold in that state
 - if $p \in s$, then p holds in s
 - if $p \notin s$, then p does not hold in s
- **action** $a \in A$ is a triple of subsets of L
 $a = (\text{precond}(a), \text{effects}^-(a), \text{effects}^+(a))$
 - $\text{effects}^-(a) \cap \text{effects}^+(a) = \emptyset$
 - action a is applicable to state s iff $\text{precond}(a) \subseteq s$
- **transition function** γ :
 - $\gamma(s, a) = (s - \text{effects}^-(a)) \cup \text{effects}^+(a)$, if a is applicable to s

Set representation: a planning problem

Planning problem P is a triple (Σ, s_0, g) :

- $\Sigma = (S, A, \gamma)$ is a planning domain over L
- s_0 is an initial state, $s_0 \in S$
- $g \subseteq L$ is a set of goal propositions
 - $S_g = \{s \in S \mid g \subseteq s\}$ is a set of goal states

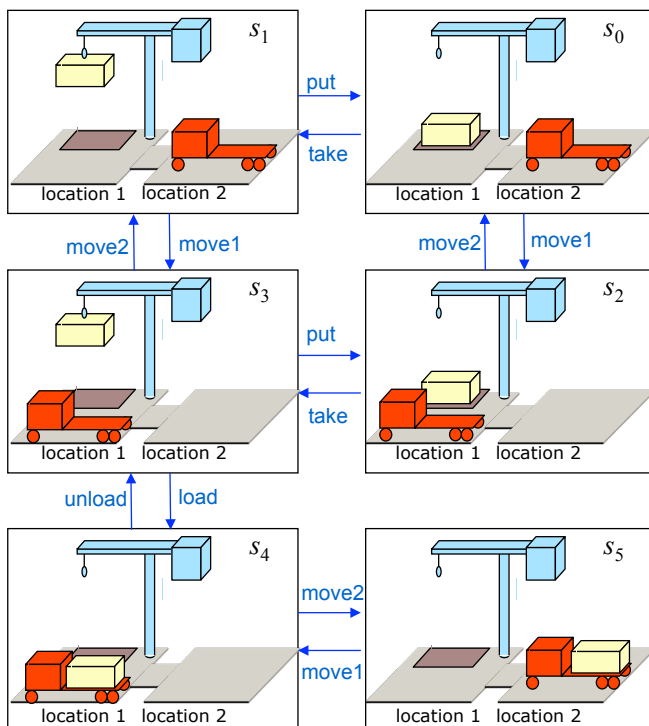
Plan π is a sequence of actions $\langle a_1, a_2, \dots, a_k \rangle$

- **the length of plan π** is $k = |\pi|$
- **a state obtained by the plan π** (a transitive closure of γ)
 - $\gamma(s, \pi) = s$, if $k=0$ (plan π is empty)
 - $\gamma(s, \pi) = \gamma(\gamma(s, a_1), \langle a_2, \dots, a_k \rangle)$, if $k>0$ and a_1 is applicable to s
 - $\gamma(s, \pi) = \text{undefined}$, otherwise

Plan π is a **solution plan** for P iff $g \subseteq \gamma(s_0, \pi)$.

- **redundant plan** contains a subsequence of actions that also solves P
- **minimal plan**: there is no shorter solution plan for P

Set representation: example



$L = \{\text{onground, onrobot, holding, at1, at2}\}$

$s_0 = \{\text{onground, at2}\}$

$g = \{\text{onrobot}\}$

load = (
 {holding, at1},
 {holding},
 {onrobot})

$\langle \text{take, move1, load, move2} \rangle$
 is a plan,
 but not a minimal plan

Direct successors of state s:

$$\Gamma(s) = \{\gamma(s,a) \mid a \in A \text{ is applicable to } s\}$$

Reachable states:

$$\Gamma_{\infty}(s) = \Gamma(s) \cup \Gamma^2(s) \cup \dots$$

Planning problem has a solution iff $S_g \cap \Gamma_{\infty}(s_0) \neq \emptyset$.

Action a is relevant for goal g if and only if:

$$g \cap \text{effects}^+(a) \neq \emptyset$$

$$g \cap \text{effects}^-(a) = \emptyset$$

Regression set for a goal g for (relevant) action a:

$$\gamma^{-1}(g,a) = (g - \text{effects}^+(a)) \cup \text{precond}(a)$$

$$\Gamma^{-1}(g) = \{\gamma^{-1}(g,a) \mid a \in A \text{ is relevant for } g\}$$

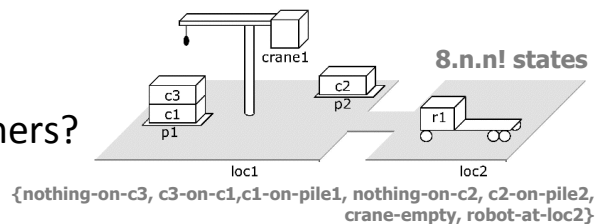
$$\Gamma_{\infty}^{-1}(g) = \Gamma^{-1}(g) \cup \Gamma^{-2}(g) \cup \dots$$

Planning problem has a solution iff s_0 is a superset of some element in $\Gamma_{\infty}^{-1}(g)$.

• **Simplicity**

– easy to read

How many states for n containers?



• **Computations**

– the transition function is easy to model/compute using set operations

– if $\text{precond}(a) \subseteq s$, then

$$\gamma(s,a) = (s - \text{effects}^-(a)) \cup \text{effects}^+(a),$$

• **Expressivity**

– some sets of propositions do not describe real states

- {holding, onrobot, at2}

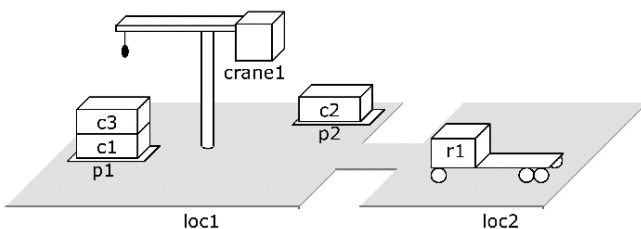
– for many domains, the set representation is still too large and not practical

- **Classical representation** generalize the set representation by exploiting **first-order logic**.
 - **State** is a set of logical atoms that are true in a given state.
 - **Action** is an instance of planning operator that changes truth value of some atoms.

More precisely:

- **L (language)** is a finite set of predicate symbols and constants (there are no function symbols!).
- **Atom** is a predicate symbol with arguments.
example: $on(c3,c1)$
- We can use **variables** in the operators.
example: $on(x,y)$

State is a set of instantiated atoms (no variables). There is a finite number of states!



{attached(p1,loc1), in(c1,p1), in(c3,p1), top(c3,p1), on(c3,c1), on(c1,pallet), attached(p2,loc1), in(c2,p2), top(c2,p2), on(c2,pallet), belong(crane1,loc1), empty(crane1), adjacent(loc1,loc2), adjacent(loc2,loc1), at(r1,loc2), occupied(loc2), unloaded(r1)}.

- The truth value of some atoms is changing in states:
 - **fluents**
 - *example: $at(r1,loc2)$*
- The truth value of some state is the same in all states
 - **rigid atoms**
 - *example: $adjacent(loc1,loc2)$*

We will use a classical **closed world assumption**.

An atom that is not included in the state does not hold at that state!

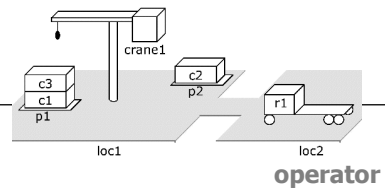
operator o is a triple (name(o), precondition(o), effects(o))

- **name(o): name of the operator** in the form $n(x_1, \dots, x_k)$
 - n : a symbol of the operator (a unique name for each operator)
 - x_1, \dots, x_k : symbols for variables (operator parameters)
 - Must contain all variables appearing in the operator definition!
- **precond(o):**
 - literals that must hold in the state so the operator is applicable on it
- **effects(o):**
 - literals that will become true after operator application (only fluents can be there!)

```
take(k, l, c, d, p)
;; crane k at location l takes c off of d in pile p
precond: belong(k, l), attached(p, l), empty(k), top(c, p), on(c, d)
effects:  holding(k, c), ¬empty(k), ¬in(c, p), ¬top(c, p), ¬on(c, d), top(d, p)
```

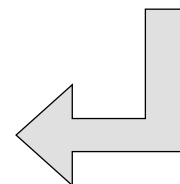
An action is a fully instantiated operator

- substitute constants to variables



```
take(k, l, c, d, p)
;; crane k at location l takes c off of d in pile p
precond: belong(k, l), attached(p, l), empty(k), top(c, p), on(c, d)
effects:  holding(k, c), ¬empty(k), ¬in(c, p), ¬top(c, p), ¬on(c, d), top(d, p)
```

```
take(crane1, loc1, c3, c1, p1) action
;; crane crane1 at location loc1 takes c3 off c1 in pile p1
precond: belong(crane1, loc1), attached(p1, loc1),
         empty(crane1), top(c3, p1), on(c3, c1)
effects:  holding(crane1, c3), ¬empty(crane1), ¬in(c3, p1),
         ¬top(c3, p1), ¬on(c3, c1), top(c1, p1)
```



Notation:

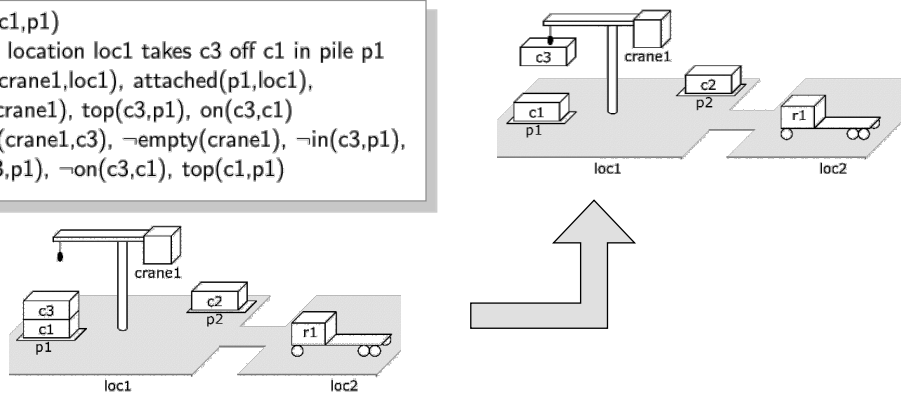
- $S^+ = \{\text{positive atoms in } S\}$
- $S^- = \{\text{atoms, whose negation is in } S\}$

Action **a** is **applicable** to state **s** if any only
 $\text{precond}^+(\mathbf{a}) \subseteq \mathbf{s} \wedge \text{precond}^-(\mathbf{a}) \cap \mathbf{s} = \emptyset$

The result of application of action a to s is

$$\gamma(\mathbf{s}, \mathbf{a}) = (\mathbf{s} - \text{effects}^-(\mathbf{a})) \cup \text{effects}^+(\mathbf{a})$$

```
take(crane1,loc1,c3,c1,p1)
;; crane crane1 at location loc1 takes c3 off c1 in pile p1
precond: belong(crane1,loc1), attached(p1,loc1),
         empty(crane1), top(c3,p1), on(c3,c1)
effects:  holding(crane1,c3), ¬empty(crane1), ¬in(c3,p1),
         ¬top(c3,p1), ¬on(c3,c1), top(c1,p1)
```



Let L be a language and O be a set of operators.

Planning domain Σ over language L with operators O

is a triple (S, A, γ) :

- **states** $S \subseteq P(\{\text{all instantiated atoms from } L\})$
- **actions** $A = \{\text{all instantiated operators from } O \text{ over } L\}$
 - action **a** is **applicable** to state **s** if
 $\text{precond}^+(\mathbf{a}) \subseteq \mathbf{s} \wedge \text{precond}^-(\mathbf{a}) \cap \mathbf{s} = \emptyset$
- **transition function** γ :
 - $\gamma(\mathbf{s}, \mathbf{a}) = (\mathbf{s} - \text{effects}^-(\mathbf{a})) \cup \text{effects}^+(\mathbf{a})$, if **a** is applicable on **s**
 - S is closed with respect to γ (if $\mathbf{s} \in S$, then for every action **a** applicable to **s** it holds $\gamma(\mathbf{s}, \mathbf{a}) \in S$)

- **Planning problem** P is a triple (Σ, s_0, g) :
 - $\Sigma = (S, A, \gamma)$ is a planning domain
 - s_0 is an initial state, $s_0 \in S$
 - g is a set of instantiated literals
 - state s satisfies the goal condition g if and only if $g^+ \subseteq s \wedge g^- \cap s = \emptyset$
 - $S_g = \{s \in S \mid s \text{ satisfies } g\}$ – a set of goal states
- Usually the planning problem is given by a triple (O, s_0, g) .
 - O defines the the operators and predicates used
 - s_0 provides the particular constants (objects)

Plan π is a sequence of actions $\langle a_1, a_2, \dots, a_k \rangle$.

Plan π is a **solution of** P if and only if $\gamma(s_0, \pi)$ satisfies g .

- **Planning problem has a solutions iff** $S_g \cap \Gamma_\infty(s_0) \neq \emptyset$.
- **Planning problem has a solution iff** s_0 is a superset of some element from $\Gamma_\infty^{-1}(g)$ (but γ^{-1} is defined a bit differently).

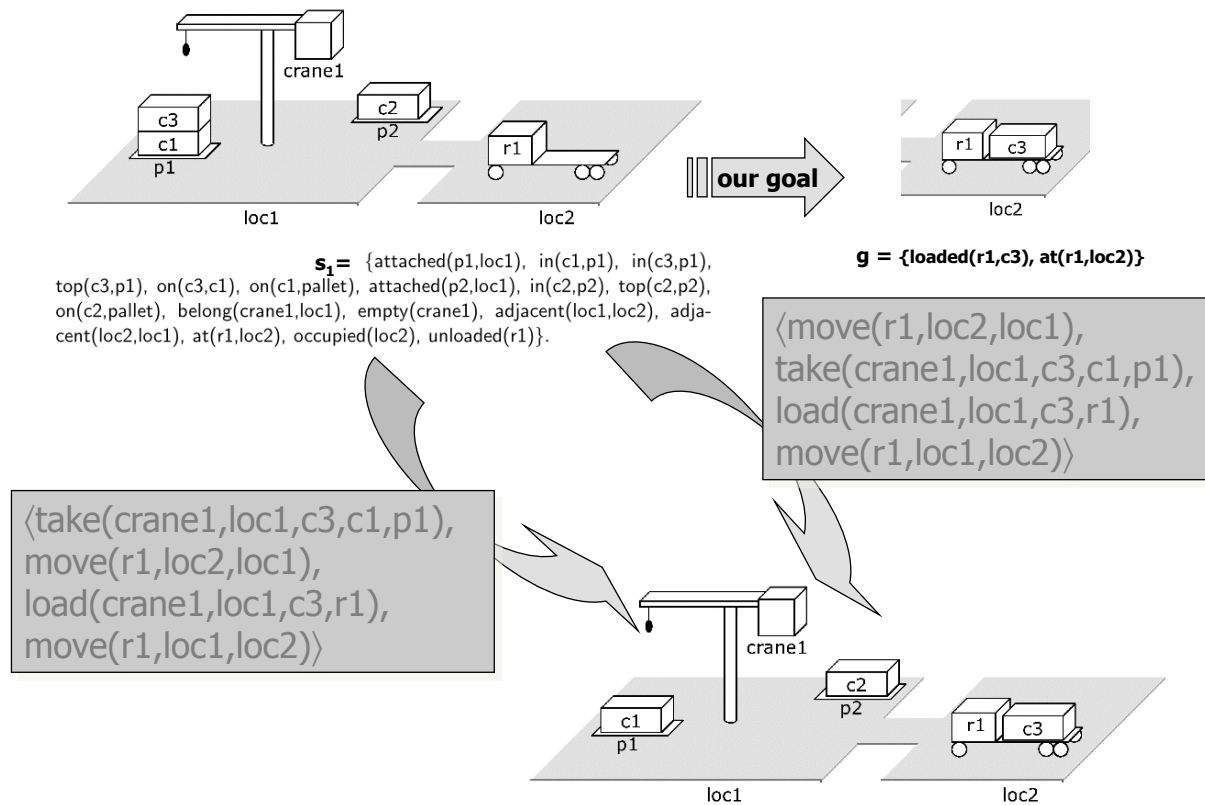
Action a is relevant for a goal g if and only if :

- action contributes to g : $g \cap \text{effects}(a) \neq \emptyset$
- action effects are not in conflict with g :
 - $g^- \cap \text{effects}^+(a) = \emptyset$
 - $g^+ \cap \text{effects}^-(a) = \emptyset$

Regression set for a goal g for a (relevant) action a :

$$\gamma^{-1}(g, a) = (g - \text{effects}(a)) \cup \text{precond}(a)$$

Classical representation: an example plan

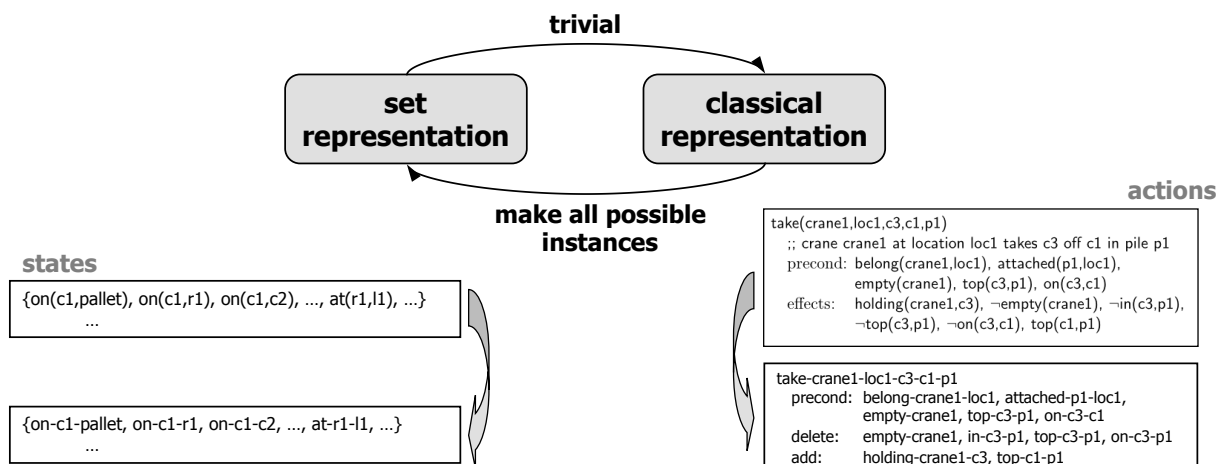


Classical representation: extensions

- **Syntactical extensions**
 - typed variables (each constant from a language has a type)
 - Example: type robot: rob1, rob2, rob3
 - existential quantification of goals (closed formula!)
 - Example: $\exists x, y (\text{on}(x, c1) \wedge \text{on}(y, c2))$
- **Conditional operators**
 - one operator encapsulates several “mini” operators, each with own preconditions and effects
 - all mini operators with satisfied preconditions are applied together
 - Example: Switch on/off can be done using the same operator
- **Disjunctive preconditions**
 - precondition can be described using any logical formula
 - Example: a robot R goes from A to B either if A and B are connected via a road or R is a four-wheel-drive car

- **Attached procedures (to operators)**
 - they are used to verify more complex preconditions (for example numerical preconditions)
 - *Example: $weight(c) \leq maxweight(r)$*
 - **Axioms**
 - for automated inference of some facts
 - *Example: $\forall l, l' (adjacent(l, l') \Leftrightarrow adjacent(l', l))$*
 - This must be done carefully for fluents:
 - $\forall k (\neg \exists x holding(k, x) \Rightarrow empty(k))$
 - $\forall k (\exists x holding(k, x) \Rightarrow \neg empty(k))$
- Fluents can be split into two sets:
- **Primal atoms**, that can be used both in preconditions and effects (for example, holding)
 - **Secondary atoms**, that can be used in preconditions only; cannot be used in effects (for example, empty)

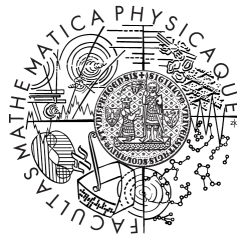
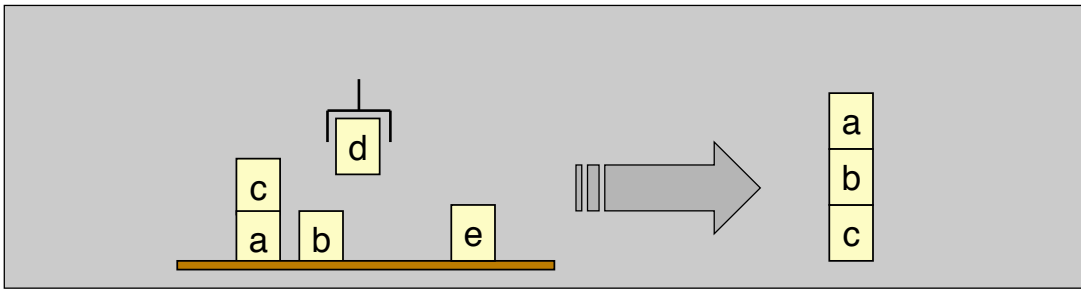
- **Expressive power** of both representations is **identical**.
- However, the translation from the classical representation to the set representation brings **exponential increase of size**.



The blocks world

- infinitely large table with a finite set of blocks
- the exact location of block on the table is irrelevant
- a block can be on the table or on another (single) block
- the planning domain deals with moving blocks by a computer hand that can hold at most one block

situation example



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