

## Hypercube problems

### Lecture 7

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## 1 Implication graph

Recall the notion of an *implication graph* of a 2-CNF formula  $\varphi$ . Its vertices are all literals and for each clause  $p^a \vee q^b$  in  $\varphi$  we have two oriented edges  $(p^{1-a}, q^b)$  and  $(q^{1-b}, p^a)$  corresponding to implications  $p^{1-a} \rightarrow q^b$  and  $q^{1-b} \rightarrow p^a$ . As usual,  $p^a$  denotes the literal  $p$  if  $a = 1$ , and the literal  $\neg p$  if  $a = 0$ .

**Definition 1** A 2-CNF formula  $\varphi$  is *acyclic* (transitively closed) if its implication graph is *acyclic* (transitively closed, respectively).

Clearly, every 2-CNF formula has an equivalent transitively closed 2-CNF formula. Furthermore, every (solvable) 2-CNF formula has an acyclic representation. (Replace all literals in a strongly connected component by a new variable.) Recall two definitions from the previous lecture. We say that  $p_i$  is a *trivial variable* of  $\varphi$  if  $\varphi \models p_i$  or  $\varphi \models \neg p_i$ . Two non-trivial variables  $p_i$  and  $p_j$  are *equivalent* if  $\varphi \models p_i \leftrightarrow p_j$  or  $\varphi \models p_i \leftrightarrow \neg p_j$ .

**Observation 2** An implication graph of a 2-CNF formula without two equivalent variables is almost acyclic, up to strongly connected components corresponding to trivial variables.

## 2 2-CNF formulas and retracts (cont.)

From the previous lecture it remains to show that a (nontrivial) set of solutions of a 2-CNF formula  $\varphi$  without equivalent variables induces a retract of  $Q_n$ . Here, nontrivial means containing at least two elements. If  $\varphi$  has only a single solution  $v \in V(Q_n)$ , then  $\{v\}$  is trivially both an image of a *weak* retraction of  $Q_n$  and a median set.

**Lemma 3** A (nontrivial) set of solutions of a 2-CNF formula  $\varphi$  on  $n$  variables induces a retract of  $Q_n$ .

**Proof** We may assume that  $\varphi$  is transitively closed. First, we consider the case when  $\varphi$  has no trivial variables, hence it is acyclic. For a clause  $C = (x_i^a \vee x_j^b)$  of  $\varphi$  we define a *gate*

$$g_C(x) = \begin{cases} x & \text{if } x \models C \\ x \oplus e_i \oplus e_j & \text{otherwise.} \end{cases}$$

Note that  $g_C$  is a retraction of  $Q_n$ .

Since  $\varphi$  is acyclic, we may order its clauses  $C_1 \wedge \dots \wedge C_r$  so that  $C_1, \dots, C_{j-1} \not\models C_j$  for every  $j \leq r$ . The mapping  $f = g_{c_1}g_{c_2}\dots g_{c_r}$  (a boolean circuit) is an endomorphism on  $Q_n$ . For  $S = M(\varphi)$  clearly

$$u \in S \Rightarrow g_{c_i}(u) = u \text{ for every } i \Rightarrow f(u) = u;$$

that is, every solution of  $\varphi$  is a fixed point of  $f$ . Thus, it suffices to show that  $\text{rng}(f) \subseteq S$ .

**Claim 4** For every  $l \geq 0$ , if  $u \in \text{rng}(g_{C_1}g_{C_2}\dots g_{C_l})$  then  $u \models C_1 \wedge \dots \wedge C_l$ .

**Proof** We proceed by induction on  $l$ . For  $l = 0$  the claim is vacuous. Let  $C_l = (x_i^a \vee x_j^b)$ ,  $v \in \text{rng}(g_{C_1}g_{C_2}\dots g_{C_{l-1}})$  and  $u = g_{C_l}(v)$ , so  $u \models C_l$ . We have to show that  $u \models C_p$  for every  $p < l$ . We distinguish the following cases.

1.  $C_p$  does not contain  $x_i$  nor  $x_j$ . Then apply induction for  $v = u$ .
2.  $C_p = (x_i^a \vee x_k^c)$  for some  $k \neq j$  and  $c \in \{0, 1\}$ . Then  $v_i = a \Rightarrow u_i = a$  or  $v_l = c \Rightarrow u_k = v_l = c$ .
3.  $C_p = (\neg x_i^a \vee x_k^c)$  for some  $k \neq j$  and  $c \in \{0, 1\}$ . Then  $C_p, C_l \models x_j^b \vee x_k^c$ . Since  $\varphi$  is transitive and by our order of clauses, this clause is already satisfied by  $v$  (by induction). Then  $v_j = b \Rightarrow u_j = v_j = b$  or  $v_k = c \Rightarrow u_k = v_k = c$ .
4.  $C_p = (x_i^a \vee \neg x_j^b)$ . Then  $C_p, C_l \models x_i^a$  and thus  $x_i$  is a trivial variable. So this case does not occur by the assumption that  $\varphi$  has no trivial variables. Similarly for  $C_p = (\neg x_i^a \vee x_j^b)$ .
5.  $C_p = (\neg x_i^a \vee \neg x_j^b)$ . Then  $C_p, C_l \models x_i^a \leftrightarrow x_j^b$ . Thus  $x_i$  and  $x_j$  are equivalent variables. Hence this case does not occur by the assumption that  $\varphi$  has no equivalent variables.

■

Applying Claim 4 for  $l = r$ , we obtain that  $u \in \text{rng}(f)$  implies  $u \in S$ . Therefore,  $\text{rng}(f) = \text{fix}(f) = S$  and  $f$  is the desired retraction on  $Q_n$ .

If  $\varphi$  has a trivial variable  $x_i^a$ , then we eliminate it from  $\varphi$  and we apply an endomorphism

$$h_{ij}(x) = x \oplus e_i \oplus e_j \text{ if } x_i \neq a, \text{ and } h_{ij}(x) = x \text{ otherwise,}$$

where  $j$  is an index of some nontrivial variable. Such variable exists since  $S$  is nontrivial. ■

By Lemma 3 and results in the previous lecture, we conclude as follows.

**Theorem 5** Let  $S \subseteq V(Q_n)$  be a nontrivial set. The following statements are equivalent.

- $S$  is a connected median set,
- $S$  induces a median graph,
- $S$  is a set of solutions of a 2-CNF formula with no two equivalent variables,
- $S = \text{rng}(f)$  for some retraction  $f$  on  $Q_n$  (i.e.  $S$  induces a retract of  $Q_n$ ).

A similar result holds for (not connected) median sets. We mention it without proof.

**Theorem 6** A set  $S \subseteq V(Q_n)$  is a median set if and only if  $S = \text{fix}(f)$  for some nonexpansive map  $f$  on  $Q_n$  if and only if  $S$  is a set of solutions of some 2-CNF formula.

### 3 Fixed cube theorem

**Definition 7** *The distance center of a connected graph  $G = (V, E)$  is a set of vertices  $x$  that minimize the sum  $\sum_{y \in V} d(x, y)$ .*

**Lemma 8** *The distance center of a connected median set  $S \subseteq V(Q_n)$  is a subcube of  $Q_n$ .*

**Proof** Let  $S = \text{rng}(f)$  of a corresponding retraction  $f$ . Let  $C$  be the set of vertices  $x$  of  $Q_n$  that minimize  $\sum_{y \in S} d(x, y)$ . For every  $x \in V(Q_n)$ ,

$$\sum_{y \in S} d(f(x), y) = \sum_{y \in S} d(f(x), f(y)) \leq \sum_{y \in S} d(x, y).$$

If  $x \notin S$ , then  $d(f(x), f(x)) = 0 < d(x, f(x))$ , so  $x \notin C$ . Therefore  $C \subseteq S$ . Since we may rewrite the sum  $\sum_{y \in S} d(x, y) = \sum_{i \in [n]} \sum_{y \in S} d(x_i, y_i)$  by coordinates, let us define  $s \in \{0, 1, *\}^n$  by

$$s_i = \begin{cases} x_i & \text{if } \sum_{y \in S} d(x_i, y_i) < \sum_{y \in S} d(\bar{x}_i, y_i), \\ * & \text{if } \sum_{y \in S} d(x_i, y_i) = \sum_{y \in S} d(\bar{x}_i, y_i). \end{cases}$$

Then  $C = Q_n[s]$ , which is a subcube of  $Q_n$ . ■

**Definition 9** *A fixed cube  $C$  of a nonexpansive map  $f$  on  $Q_n$  is a subcube such that  $f(C) = C$  (not necessarily point-wise.)*

**Theorem 10** *Every nonexpansive map  $f$  on  $Q_n$  has a fixed cube.*

**Proof** Recall that  $S = \text{per}(f)$  is a connected median set,  $f \upharpoonright S$  is an automorphism of  $\langle S \rangle$ . The distance center  $C$  is invariant under automorphisms. Thus  $f \upharpoonright C$  is an automorphism of  $\langle C \rangle$ . By Lemma 8, it is a subcube and hence a fixed cube of  $f$ . ■

**Corollary 11** *Every median graph contains a subcube that is invariant under all automorphisms (nonexpansive maps).*

**Problem 1 (Feder [1])** *Find a necessary and sufficient condition on four pairs  $(x_i, y_i)$  of vertices to have a nonexpansive map  $f$  with  $f(x_i) = y_i$ .*

Note that for three pairs it is both necessary and sufficient that  $d(y_i, y_j) \leq d(x_i, x_j)$  for all  $i, j$ .

**Problem 2** *Find new classes of subgraphs of  $Q_n$  with interesting correspondences.*

### 4 Notes

The references for this lecture are the same as for the previous lecture. The main reference is the thesis of Feder [1] with an alternative approach presented in the book of Imrich and Klavžar [2].

## References

- [1] T. FEDER, *Stable networks and product graphs*, Mem. Amer. Math. Soc. 116 No.555 (1995), 223 pp.
- [2] W. IMRICH, S. KLAVŽAR, *Product graphs, structure and recognition*, Wiley, New York, 2000.