

# Propositional and Predicate Logic - VI

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# Hilbert's calculus

- basic connectives:  $\neg$ ,  $\rightarrow$  (others can be defined from them)
- **logical axioms** (schemes of axioms):

$$(i) \quad \varphi \rightarrow (\psi \rightarrow \varphi)$$

$$(ii) \quad (\varphi \rightarrow (\psi \rightarrow \chi)) \rightarrow ((\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \chi))$$

$$(iii) \quad (\neg\varphi \rightarrow \neg\psi) \rightarrow (\psi \rightarrow \varphi)$$

where  $\varphi$ ,  $\psi$ ,  $\chi$  are any propositions (of a given language).

- **a rule of inference:**

$$\frac{\varphi, \varphi \rightarrow \psi}{\psi} \quad (\text{modus ponens})$$

A **proof** (in *Hilbert-style*) of a formula  $\varphi$  from a theory  $T$  is a **finite** sequence

$\varphi_0, \dots, \varphi_n = \varphi$  of formulas such that for every  $i \leq n$

- $\varphi_i$  is a logical axiom or  $\varphi_i \in T$  (an axiom of the theory), or
- $\varphi_i$  can be inferred from the previous formulas applying a rule of inference.

**Remark** *Choice of axioms and inference rules differs in various Hilbert-style proof systems.*

## Example and soundness

A formula  $\varphi$  is *provable* from  $T$  if it has a proof from  $T$ , denoted by  $T \vdash_H \varphi$ .

If  $T = \emptyset$ , we write  $\vdash_H \varphi$ . E.g. for  $T = \{\neg\varphi\}$  we have  $T \vdash_H \varphi \rightarrow \psi$  for every  $\psi$ .

- |    |   |                             |
|----|---|-----------------------------|
| 1) | $\neg\varphi$   | an axiom of $T$             |
| 2) | $\neg\varphi \rightarrow (\neg\psi \rightarrow \neg\varphi)$                | a logical axiom (i)         |
| 3) | $\neg\psi \rightarrow \neg\varphi$  | by modus ponens from 1), 2) |
| 4) | $(\neg\psi \rightarrow \neg\varphi) \rightarrow (\varphi \rightarrow \psi)$ | a logical axiom (iii)       |
| 5) | $\varphi \rightarrow \psi$  | by modus ponens from 3), 4) |

**Theorem** For every theory  $T$  and formula  $\varphi$ ,  $T \vdash_H \varphi \Rightarrow T \models \varphi$ .

*Proof*

- If  $\varphi$  is an axiom (logical or from  $T$ ), then  $T \models \varphi$  (l. axioms are tautologies),
- if  $T \models \varphi$  and  $T \models \varphi \rightarrow \psi$ , then  $T \models \psi$ , i.e. modus ponens is **sound**,
- thus every formula in a proof from  $T$  is valid in  $T$ . □

**Remark** The *completeness* holds as well, i.e.  $T \models \varphi \Rightarrow T \vdash_H \varphi$ .

# Predicate logic

*Deals with statements about objects, their properties and relations.*

*“She is intelligent and her father knows the rector.”*

$$I(x) \wedge K(f(x), r)$$

- $x$  is a **variable**, representing an object,
- $r$  is a **constant symbol**, representing a particular object,
- $f$  is a **function symbol**, representing a function,
- $I, K$  are **relation (predicate) symbols**, representing relations (the property of “being intelligent” and the relation “to know”).

*“Everybody has a father.”*

$$(\forall x)(\exists y)(y = f(x))$$

- $(\forall x)$  is the **universal quantifier** (*for every  $x$* ),
- $(\exists y)$  is the **existential quantifier** (*there exists  $y$* ),
- $=$  is a (binary) **relation symbol**, representing the identity relation.

# Language

A first-order language consists of

- **variables**  $x, y, z, \dots, x_0, x_1, \dots$  (countable many),  
the set of all variables is denoted by **Var**,
- **function symbols**  $f, g, h, \dots$ , including **constant symbols**  $c, d, \dots$ ,  
which are nullary function symbols,
- **relation (predicate) symbols**  $P, Q, R, \dots$ , eventually the symbol  $=$   
(**equality**) as a special relation symbol,
- **quantifiers**  $(\forall x), (\exists x)$  for every variable  $x \in \text{Var}$ ,
- **logical connectives**  $\neg, \wedge, \vee, \rightarrow, \leftrightarrow$
- **parentheses**  $(, )$

Every function and relation symbol  $S$  has an associated **arity**  $\text{ar}(S) \in \mathbb{N}$ .

***Remark** Compared to propositional logic we have no (explicit) propositional variables, but they can be introduced as nullary relation symbols.*

# Signatures

- *Symbols of logic* are variables, quantifiers, connectives and parentheses.
- *Non-logical symbols* are function and relation symbols except the equality symbol. The equality is (usually) considered separately.
- A *signature* is a pair  $\langle \mathcal{R}, \mathcal{F} \rangle$  of disjoint sets of relation and function symbols with associated arities, whereas none of them is the equality symbol. A signature lists all non-logical symbols.
- A *language* is determined by a signature  $L = \langle \mathcal{R}, \mathcal{F} \rangle$  and by specifying whether it is a language with equality or not. A language must contain at least one relation symbol (non-logical or the equality).

*Remark* The meaning of symbols in a language is not assigned, e.g. the symbol  $+$  does not have to represent the standard addition.

## Examples of languages

*We describe a language by a list of all non-logical symbols with eventual clarification of arity and whether they are relation or function symbols.*

The following examples of languages are all with **equality**.

- $L = \langle \rangle$  is the language of **pure** equality,
- $L = \langle c_i \rangle_{i \in \mathbb{N}}$  is the language of countable many constants,
- $L = \langle \leq \rangle$  is the language of **orderings**,
- $L = \langle E \rangle$  is the language of the **graph** theory,
- $L = \langle +, -, 0 \rangle$  is the language of the **group** theory,
- $L = \langle +, -, \cdot, 0, 1 \rangle$  is the language of the **field** theory,
- $L = \langle -, \wedge, \vee, 0, 1 \rangle$  is the language of **Boolean algebras**,
- $L = \langle S, +, \cdot, 0, \leq \rangle$  is the language of **arithmetic**,

where  $c_i, 0, 1$  are constant symbols,  $S, -$  are unary function symbols,  $+, \cdot, \wedge, \vee$  are binary function symbols,  $E, \leq$  are binary relation symbols.

# Terms

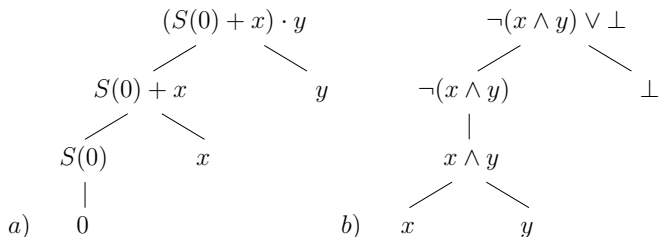
*Are expressions representing values of (composed) functions.*

**Terms** of a language  $L$  are defined inductively by

- (i) every variable or constant symbol in  $L$  is a term,
  - (ii) if  $f$  is a function symbol in  $L$  of arity  $n > 0$  and  $t_1, \dots, t_n$  are terms, then also the expression  $f(t_1, \dots, t_n)$  is a term,
  - (iii) every term is formed by a **finite** number of steps (i), (ii).
- A **ground term** is a term with no variables.
  - The set of all terms of a language  $L$  is denoted by  $\text{Term}_L$ .
  - A term that is a part of another term  $t$  is called a **subterm** of  $t$ .
  - The structure of terms can be represented by their **formation trees**.
  - For binary function symbols we often use **infix** notation, e.g. we write  $(x + y)$  instead of  $+(x, y)$ .



# Examples of terms



- a) The formation tree of the term  $(S(0) + x) \cdot y$  of the language of arithmetic.
- b) Propositional formulas only with connectives  $\neg$ ,  $\wedge$ ,  $\vee$ , eventually with constants  $\top$ ,  $\perp$  can be viewed as terms of the language of Boolean algebras.

# Atomic formulas

Are the simplest formulas.

- An *atomic formula* of a language  $L$  is an expression  $R(t_1, \dots, t_n)$  where  $R$  is an  $n$ -ary relation symbol in  $L$  and  $t_1, \dots, t_n$  are terms of  $L$ .
- The set of all atomic formulas of a language  $L$  is denoted by  $\text{AFm}_L$ .
- The structure of an atomic formula can be represented by a **formation tree** from the formation subtrees of its terms.
- For binary relation symbols we often use **infix** notation, e.g.  $t_1 = t_2$  instead of  $=(t_1, t_2)$  or  $t_1 \leq t_2$  instead of  $\leq(t_1, t_2)$ .
- *Examples of atomic formulas*

$$K(f(x), r), \quad x \cdot y \leq (S(0) + x) \cdot y, \quad \neg(x \wedge y) \vee \perp = \perp.$$

# Formula

*Formulas* of a language  $L$  are defined inductively by

- (i) every atomic formula is a formula,
- (ii) if  $\varphi, \psi$  are formulas, then also the following expressions are formulas
$$(\neg\varphi), (\varphi \wedge \psi), (\varphi \vee \psi), (\varphi \rightarrow \psi), (\varphi \leftrightarrow \psi),$$
- (iii) if  $\varphi$  is a formula and  $x$  is a variable, then also the expressions  $((\forall x)\varphi)$  and  $((\exists x)\varphi)$  are formulas.
- (iv) every formula is formed by a **finite** number of steps (i), (ii), (iii).
  - The set of all formulas of a language  $L$  is denoted by  $\mathbf{Fm}_L$ .
  - A formula that is a part of another formula  $\varphi$  is called a *subformula* of  $\varphi$ .
  - The structure of formulas can be represented by their **formation trees**.

# Conventions

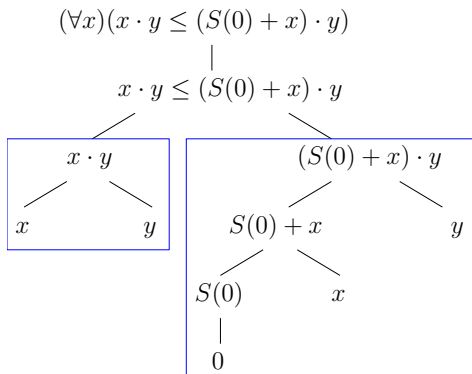
- After introducing *priorities* for binary function symbols e.g.  $+$ ,  $\cdot$  we are in *infix* notation allowed to omit parentheses that are around a subterm formed by a symbol of *higher* priority, e.g.  $x \cdot y + z$  instead of  $(x \cdot y) + z$ .
- After introducing *priorities* for connectives and quantifiers we are allowed to omit parentheses that are around subformulas formed by connectives of *higher* priority.

$$(1) \neg, (\forall x), (\exists x) \quad (2) \wedge, \vee \quad (3) \rightarrow, \leftrightarrow$$

- They can be always omitted around subformulas formed by  $\neg, (\forall x), (\exists x)$ .
- We may also omit parentheses in  $(\forall x)$  and  $(\exists x)$  for every  $x \in \text{Var}$ .
- The outer parentheses may be omitted as well.

$$\begin{aligned} & (((\neg((\forall x)R(x))) \wedge ((\exists y)P(y))) \rightarrow (\neg(((\forall x)R(x)) \vee (\neg((\exists y)P(y))))) \\ & \neg(\forall x)R(x) \wedge (\exists y)P(y) \rightarrow \neg((\forall x)R(x) \vee \neg(\exists y)P(y)) \end{aligned}$$

# An example of a formula



The formation tree of the formula  $(\forall x)(x \cdot y \leq (S(0) + x) \cdot y)$ .

# Occurrences of variables

Let  $\varphi$  be a formula and  $x$  be a variable.

- An **occurrence** of  $x$  in  $\varphi$  is a leaf labeled by  $x$  in the formation tree of  $\varphi$ .
- An occurrence of  $x$  in  $\varphi$  is **bound** if it is in some subformula  $\psi$  that starts with  $(\forall x)$  or  $(\exists x)$ . An occurrence of  $x$  in  $\varphi$  is **free** if it is not bound.
- A variable  $x$  is **free** in  $\varphi$  if it has at least one free occurrence in  $\varphi$ . It is **bound** in  $\varphi$  if it has at least one bound occurrence in  $\varphi$ .
- A variable  $x$  can be both free and bound in  $\varphi$ . For example in

$$(\forall x)(\exists y)(x \leq y) \vee x \leq z.$$

- We write  $\varphi(x_1, \dots, x_n)$  to denote that  $x_1, \dots, x_n$  are all free variables in the formula  $\varphi$ . ( $\varphi$  states something about these variables.)

**Remark** We will see that the truth value of a formula (in a given interpretation of symbols) depends only on the assignment of free variables.

# Open and closed formulas

- A formula is *open* if it is without quantifiers. For the set  $\text{OFm}_L$  of all open formulas in a language  $L$  it holds that  $\text{AFm}_L \subsetneq \text{OFm}_L \subsetneq \text{Fm}_L$ .
- A formula is *closed* (a *sentence*) if it has no free variable; that is, all occurrences of variables are bound.
- A formula can be both open and closed. In this case, all its terms are ground terms.

|  |   |
|--|---|
| $x + y \leq 0$                         | <i>open</i> , $\varphi(x, y)$                     |
| $(\forall x)(\forall y)(x + y \leq 0)$ | <i>a sentence</i> ,                               |
| $(\forall x)(x + y \leq 0)$            | <i>neither open nor a sentence</i> , $\varphi(y)$ |
| $1 + 0 \leq 0$                         | <i>open sentence</i>                              |

*Remark* We will see that in a fixed interpretation of symbols a sentence has a fixed truth value; that is, it does not depend on the assignment of variables.

# Instances

After *substituting* a term  $t$  for a free variable  $x$  in a formula  $\varphi$ , we would expect that the new formula (newly) says about  $t$  “the same” as  $\varphi$  did about  $x$ .

|                                   |                          |                                |
|-----------------------------------|--------------------------|--------------------------------|
| $\varphi(x)$                      | $(\exists y)(x + y = 1)$ | “there is an element $1 - x$ ” |
| for $t = 1$ we can $\varphi(x/t)$ | $(\exists y)(1 + y = 1)$ | “there is an element $1 - 1$ ” |
| for $t = y$ we cannot             | $(\exists y)(y + y = 1)$ | “1 is divisible by 2”          |

- A term  $t$  is **substitutable** for a variable  $x$  in a formula  $\varphi$  if substituting  $t$  for all free occurrences of  $x$  in  $\varphi$  does not introduce a new bound occurrence of a variable from  $t$ .
- Then we denote the obtained formula  $\varphi(x/t)$  and we call it an **instance** of the formula  $\varphi$  after a **substitution** of a term  $t$  for a variable  $x$ .
- $t$  is not substitutable for  $x$  in  $\varphi$  if and only if  $x$  has a free occurrence in some subformula that starts with  $(\forall y)$  or  $(\exists y)$  for some variable  $y$  in  $t$ .
- **Ground** terms are always substitutable.



# Variants

Quantified variables can be (under *certain* conditions) renamed so that we obtain an equivalent formula.

Let  $(Qx)\psi$  be a subformula of  $\varphi$  where  $Q$  means  $\forall$  or  $\exists$  and  $y$  is a variable such that the following conditions hold.

- 1)  $y$  is **substitutable** for  $x$  in  $\psi$ , and
- 2)  $y$  does not have a **free** occurrence in  $\psi$ .

Then by replacing the subformula  $(Qx)\psi$  with  $(Qy)\psi(x/y)$  we obtain a *variant* of  $\varphi$  *in subformula*  $(Qx)\psi$ . After variation of one or more subformulas in  $\varphi$  we obtain a *variant* of  $\varphi$ . For example,

|                                    |   |
|------------------------------------|---|
| $(\exists x)(\forall y)(x \leq y)$ | is a formula $\varphi$ ,                          |
| $(\exists u)(\forall v)(u \leq v)$ | is a variant of $\varphi$ ,                       |
| $(\exists y)(\forall y)(y \leq y)$ | is not a variant of $\varphi$ , 1) does not hold, |
| $(\exists x)(\forall x)(x \leq x)$ | is not a variant of $\varphi$ , 2) does not hold. |

# Structures

- $\underline{S} = \langle S, \leq \rangle$  is an **ordered** set where  $\leq$  is reflexive, antisymmetric, transitive binary relation on  $S$ ,
- $G = \langle V, E \rangle$  is an undirected **graph** without loops where  $V$  is the set of *vertices* and  $E$  is irreflexive, symmetric binary relation on  $V$  (*adjacency*),
- $\underline{\mathbb{Z}}_p = \langle \mathbb{Z}_p, +, -, 0 \rangle$  is the additive **group** of integers modulo  $p$ ,
- $\underline{\mathbb{Q}} = \langle \mathbb{Q}, +, -, \cdot, 0, 1 \rangle$  is the **field** of rational numbers,
- $\underline{\mathcal{P}(X)} = \langle \mathcal{P}(X), -, \cap, \cup, \emptyset, X \rangle$  is the **set algebra** over  $X$ ,
- $\underline{\mathbb{N}} = \langle \mathbb{N}, S, +, \cdot, 0, \leq \rangle$  is the standard model of **arithmetic**,
- finite automata and other models of computation,
- relational databases, . . .

# A structure for a language

Let  $L = \langle \mathcal{R}, \mathcal{F} \rangle$  be a signature of a language and  $A$  be a nonempty set.

- A **realization** (*interpretation*) of a **relation symbol**  $R \in \mathcal{R}$  on  $A$  is any relation  $R^A \subseteq A^{\text{ar}(R)}$ . A realization of  $=$  on  $A$  is the relation  $Id_A$  (identity).
- A **realization** (*interpretation*) of a **function symbol**  $f \in \mathcal{F}$  on  $A$  is any function  $f^A: A^{\text{ar}(f)} \rightarrow A$ . Thus a realization of a **constant symbol** is some element of  $A$ .

A **structure** for the language  $L$  (***L*-structure**) is a triple  $\mathcal{A} = \langle A, \mathcal{R}^A, \mathcal{F}^A \rangle$ , where

- $A$  is nonempty set, called the **domain** of the structure  $\mathcal{A}$ ,
- $\mathcal{R}^A = \langle R^A \mid R \in \mathcal{R} \rangle$  is a **collection** of realizations of relation symbols,
- $\mathcal{F}^A = \langle f^A \mid f \in \mathcal{F} \rangle$  is a **collection** of realizations of function symbols.

A structure for the language  $L$  is also called a **model of the language**  $L$ . The class of all models of  $L$  is denoted by  $M(L)$ . *Examples for  $L = \langle \leq \rangle$  are*

$$\langle \mathbb{N}, \leq \rangle, \langle \mathbb{Q}, > \rangle, \langle X, E \rangle, \langle \mathcal{P}(X), \subseteq \rangle.$$

## Value of terms

Let  $t$  be a term of  $L = \langle \mathcal{R}, \mathcal{F} \rangle$  and  $\mathcal{A} = \langle A, \mathcal{R}^A, \mathcal{F}^A \rangle$  be an  $L$ -structure.

- A **variable assignment** over the domain  $A$  is a function  $e: \text{Var} \rightarrow A$ .
- The **value**  $t^A[e]$  of the term  $t$  in the structure  $\mathcal{A}$  with respect to the assignment  $e$  is defined by

$$x^A[e] = e(x) \quad \text{for every } x \in \text{Var},$$

$$(f(t_1, \dots, t_n))^A[e] = f^A(t_1^A[e], \dots, t_n^A[e]) \quad \text{for every } f \in \mathcal{F}.$$

- In particular, for a constant symbol  $c$  we have  $c^A[e] = c^A$ .
- If  $t$  is a **ground** term, its value in  $\mathcal{A}$  is independent on the assignment  $e$ .
- The value of  $t$  in  $\mathcal{A}$  depends only on the assignment of variables in  $t$ .

For example, the value of the term  $x + 1$  in the structure  $\mathcal{N} = \langle \mathbb{N}, +, 1 \rangle$  with respect to the assignment  $e$  with  $e(x) = 2$  is  $(x + 1)^{\mathcal{N}}[e] = 3$ .

## Values of atomic formulas

Let  $\varphi$  be an **atomic** formula of  $L = \langle \mathcal{R}, \mathcal{F} \rangle$  in the form  $R(t_1, \dots, t_n)$ ,  
 $\mathcal{A} = \langle A, \mathcal{R}^A, \mathcal{F}^A \rangle$  be an  $L$ -structure, and  $e$  be a variable assignment over  $A$ .

- The **value**  $H_{at}^A(\varphi)[e]$  of the formula  $\varphi$  in the structure  $\mathcal{A}$  with respect to  $e$  is

$$H_{at}^A(R(t_1, \dots, t_n))[e] = \begin{cases} 1 & \text{if } (t_1^A[e], \dots, t_n^A[e]) \in R^A, \\ 0 & \text{otherwise.} \end{cases}$$

where  $=^A$  is  $\text{Id}_A$ ; that is,  $H_{at}^A(t_1 = t_2)[e] = 1$  if  $t_1^A[e] = t_2^A[e]$ , and  $H_{at}^A(t_1 = t_2)[e] = 0$  otherwise.

- If  $\varphi$  is a sentence; that is, all its terms are **ground**, then its value in  $\mathcal{A}$  is independent on the assignment  $e$ .
- The value of  $\varphi$  in  $\mathcal{A}$  depends only on the assignment of variables in  $\varphi$ .

*For example, the value of  $\varphi$  in form  $x + 1 \leq 1$  in  $\mathcal{N} = \langle \mathbb{N}, +, 1, \leq \rangle$  with respect to the assignment  $e$  is  $H_{at}^{\mathcal{N}}(\varphi)[e] = 1$  if and only if  $e(x) = 0$ .*

# Values of formulas

The *value*  $H^A(\varphi)[e]$  of the formula  $\varphi$  in the structure  $\mathcal{A}$  with respect to  $e$  is

$$H^A(\varphi)[e] = H_{at}^A(\varphi)[e] \text{ if } \varphi \text{ is atomic,}$$

$$H^A(\neg\varphi)[e] = \neg_1(H^A(\varphi)[e])$$

$$H^A(\varphi \wedge \psi)[e] = \wedge_1(H^A(\varphi)[e], H^A(\psi)[e])$$

$$H^A(\varphi \vee \psi)[e] = \vee_1(H^A(\varphi)[e], H^A(\psi)[e])$$

$$H^A(\varphi \rightarrow \psi)[e] = \rightarrow_1(H^A(\varphi)[e], H^A(\psi)[e])$$

$$H^A(\varphi \leftrightarrow \psi)[e] = \leftrightarrow_1(H^A(\varphi)[e], H^A(\psi)[e])$$

$$H^A((\forall x)\varphi)[e] = \min_{a \in A}(H^A(\varphi)[e(x/a)])$$

$$H^A((\exists x)\varphi)[e] = \max_{a \in A}(H^A(\varphi)[e(x/a)])$$

where  $\neg_1, \wedge_1, \vee_1, \rightarrow_1, \leftrightarrow_1$  are the Boolean functions given by the tables and  $e(x/a)$  for  $a \in A$  denotes the assignment obtained from  $e$  by setting  $e(x) = a$ .

*Observation*  $H^A(\varphi)[e]$  depends only on the assignment of *free* variables in  $\varphi$ .

# Satisfiability with respect to assignments

The structure  $\mathcal{A}$  **satisfies** the formula  $\varphi$  **with assignment**  $e$  if  $H^A(\varphi)[e] = 1$ .

Then we write  $\mathcal{A} \models \varphi[e]$ , and  $\mathcal{A} \not\models \varphi[e]$  otherwise. It holds that

|   |                   |   |
|---|-------------------|---|
| $\mathcal{A} \models \neg\varphi[e]$                    | $\Leftrightarrow$ | $\mathcal{A} \not\models \varphi[e]$  |
| $\mathcal{A} \models (\varphi \wedge \psi)[e]$          | $\Leftrightarrow$ | $\mathcal{A} \models \varphi[e]$ and $\mathcal{A} \models \psi[e]$            |
| $\mathcal{A} \models (\varphi \vee \psi)[e]$            | $\Leftrightarrow$ | $\mathcal{A} \models \varphi[e]$ or $\mathcal{A} \models \psi[e]$             |
| $\mathcal{A} \models (\varphi \rightarrow \psi)[e]$     | $\Leftrightarrow$ | $\mathcal{A} \models \varphi[e]$ implies $\mathcal{A} \models \psi[e]$        |
| $\mathcal{A} \models (\varphi \leftrightarrow \psi)[e]$ | $\Leftrightarrow$ | $\mathcal{A} \models \varphi[e]$ if and only if $\mathcal{A} \models \psi[e]$ |
| $\mathcal{A} \models (\forall x)\varphi[e]$             | $\Leftrightarrow$ | $\mathcal{A} \models \varphi[e(x/a)]$ for every $a \in A$                     |
| $\mathcal{A} \models (\exists x)\varphi[e]$             | $\Leftrightarrow$ | $\mathcal{A} \models \varphi[e(x/a)]$ for some $a \in A$                      |

**Observation** Let term  $t$  be **substitutable** for  $x$  in  $\varphi$  and  $\psi$  be a **variant** of  $\varphi$ .

Then for every structure  $\mathcal{A}$  and assignment  $e$

- 1)  $\mathcal{A} \models \varphi(x/t)[e]$  if and only if  $\mathcal{A} \models \varphi[e(x/a)]$  where  $a = t^A[e]$ ,
- 2)  $\mathcal{A} \models \varphi[e]$  if and only if  $\mathcal{A} \models \psi[e]$ .

## Validity in a structure

Let  $\varphi$  be a formula of a language  $L$  and  $\mathcal{A}$  be an  $L$ -structure.

- $\varphi$  is **valid (true) in the structure  $\mathcal{A}$** , denoted by  $\mathcal{A} \models \varphi$ , if  $\mathcal{A} \models \varphi[e]$  for every  $e: \text{Var} \rightarrow A$ . We say that  $\mathcal{A}$  **satisfies**  $\varphi$ . Otherwise, we write  $\mathcal{A} \not\models \varphi$ .
- $\varphi$  is **contradictory in  $\mathcal{A}$**  if  $\mathcal{A} \models \neg\varphi$ ; that is,  $\mathcal{A} \not\models \varphi[e]$  for every  $e: \text{Var} \rightarrow A$ .
- For every formulas  $\varphi, \psi$ , variable  $x$ , and structure  $\mathcal{A}$

$$(1) \quad \mathcal{A} \models \varphi \quad \Rightarrow \quad \mathcal{A} \not\models \neg\varphi$$

$$(2) \quad \mathcal{A} \models \varphi \wedge \psi \quad \Leftrightarrow \quad \mathcal{A} \models \varphi \text{ and } \mathcal{A} \models \psi$$

$$(3) \quad \mathcal{A} \models \varphi \vee \psi \quad \Leftrightarrow \quad \mathcal{A} \models \varphi \text{ or } \mathcal{A} \models \psi$$

$$(4) \quad \mathcal{A} \models \varphi \quad \Leftrightarrow \quad \mathcal{A} \models (\forall x)\varphi$$

- If  $\varphi$  is a **sentence**, it is valid or contradictory in  $\mathcal{A}$ , and thus (1) holds also in  $\Leftarrow$ . If moreover  $\psi$  is a sentence, also (3) holds in  $\Rightarrow$ .
- By (4),  $\mathcal{A} \models \varphi$  if and only if  $\mathcal{A} \models \psi$  where  $\psi$  is a **universal closure** of  $\varphi$ , i.e. a formula  $(\forall x_1) \cdots (\forall x_n)\varphi$  where  $x_1, \dots, x_n$  are all **free** variables in  $\varphi$ .



# Validity in a theory

- A *theory* of language  $L$  is any set  $T$  of formulas of  $L$  (so called *axioms*).
- A *model of a theory*  $T$  is an  $L$ -structure  $\mathcal{A}$  such that  $\mathcal{A} \models \varphi$  for every  $\varphi \in T$ . Then we write  $\mathcal{A} \models T$  and we say that  $\mathcal{A}$  *satisfies*  $T$ .
- The *class of models* of a theory  $T$  is  $M(T) = \{\mathcal{A} \in M(L) \mid \mathcal{A} \models T\}$ .
- A formula  $\varphi$  is *valid in  $T$*  (*true in  $T$* ), denoted by  $T \models \varphi$ , if  $\mathcal{A} \models \varphi$  for every model  $\mathcal{A}$  of  $T$ . Otherwise, we write  $T \not\models \varphi$ .
- $\varphi$  is *contradictory in  $T$*  if  $T \models \neg\varphi$ , i.e.  $\varphi$  is contradictory in all models of  $T$ .
- $\varphi$  is *independent in  $T$*  if it is neither valid nor contradictory in  $T$ .
- If  $T = \emptyset$ , we have  $M(T) = M(L)$  and we omit  $T$ , eventually we say “in logic”. Then  $\models \varphi$  means that  $\varphi$  is (*universally*) *valid* (a *tautology*).
- A *consequence* of  $T$  is the set  $\theta^L(T)$  of all *sentences* of  $L$  valid in  $T$ , i.e.
 
$$\theta^L(T) = \{\varphi \in \text{Fm}_L \mid T \models \varphi \text{ and } \varphi \text{ is a sentence}\}.$$

# Example of a theory

A *theory of orderings*  $T$  in language  $L = \langle \leq \rangle$  with equality has axioms

$$x \leq x \quad (\text{reflexivity})$$

$$x \leq y \wedge y \leq x \rightarrow x = y \quad (\text{antisymmetry})$$

$$x \leq y \wedge y \leq z \rightarrow x \leq z \quad (\text{transitivity})$$

Models of  $T$  are  $L$ -structures  $\langle S, \leq_S \rangle$ , so called **ordered sets**, that satisfy the axioms of  $T$ , for example  $\mathcal{A} = \langle \mathbb{N}, \leq \rangle$  or  $\mathcal{B} = \langle \mathcal{P}(X), \subseteq \rangle$  for  $X = \{0, 1, 2\}$ .

- A formula  $\varphi: x \leq y \vee y \leq x$  is valid in  $\mathcal{A}$  but not in  $\mathcal{B}$  since  $\mathcal{B} \not\models \varphi[e]$  for the assignment  $e(x) = \{0\}$ ,  $e(y) = \{1\}$ , thus  $\varphi$  is independent in  $T$ .
- A sentence  $\psi: (\exists x)(\forall y)(y \leq x)$  is valid in  $\mathcal{B}$  and contradictory in  $\mathcal{A}$ , hence it is independent in  $T$  as well. We write  $\mathcal{B} \models \psi$ ,  $\mathcal{A} \models \neg\psi$ .
- A formula  $\chi: (x \leq y \wedge y \leq z \wedge z \leq x) \rightarrow (x = y \wedge y = z)$  is valid in  $T$ , denoted by  $T \models \chi$ , the same holds for its **universal closure**.