

Propositional and Predicate Logic - VIII

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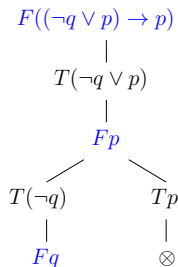
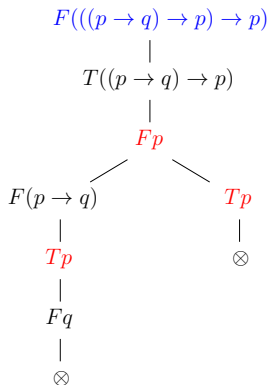
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Tableau method in propositional logic - a review

- A **tableau** is a binary tree that represents a search for a *counterexample*.
- Nodes are labeled by **entries**, i.e. formulas with a **sign** T / F that represents an assumption that the formula is **true / false** in some model.
- If this assumption is correct, then it is correct also for all the entries in some branch below that came from this entry.
- A branch is **contradictory** (it fails) if it contains $T\psi, F\psi$ for some ψ .
- A **proof** of formula φ is a **contradictory** tableau with root $F\varphi$, i.e. a tableau in which every branch is contradictory. If φ has a proof, it is valid.
- If a counterexample exists, there will be a branch in a **finished** tableau that **provides** us with this counterexample, but this branch can be infinite.
- We can construct a **systematic tableau** that is always finished.
- If φ is valid, the systematic tableau for φ is contradictory, i.e. it is a proof of φ ; and in this case, it is also **finite**.

Tableau method in propositional logic - examples



- a) A tableau proof of the formula $((p \rightarrow q) \rightarrow p) \rightarrow p$.
- b) A finished tableau for $(\neg q \vee p) \rightarrow p$. The left branch provides us with a counterexample $v(p) = v(q) = 0$.

Tableau method in predicate logic - what is different

- Formulas in entries will always be **sentences** (closed formulas), i.e. formulas without free variables.
- We add **new atomic tableaux** for quantifiers.
- In these tableaux we substitute **ground terms** for quantified variables following certain rules.
- We extend the language by **new (auxiliary) constant symbols** (countably many) to represent “*witnesses*” of entries $T(\exists x)\varphi(x)$ and $F(\forall x)\varphi(x)$.
- In a **finished** noncontradictory branch containing an entry $T(\forall x)\varphi(x)$ or $F(\exists x)\varphi(x)$ we have **instances** $T\varphi(x/t)$ resp. $F\varphi(x/t)$ for every ground term t (of the extended language).

Assumptions

- 1) The formula φ that we want to prove (or refute) is a **sentence**. If not, we can replace φ with its **universal closure** φ' , since for every theory T ,

$$T \models \varphi \quad \text{if and only if} \quad T \models \varphi'.$$

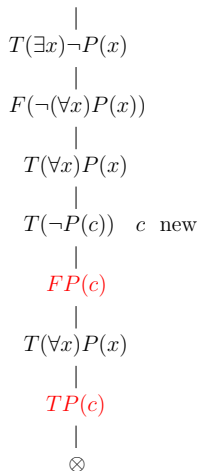
- 2) We prove from a theory in a **closed form**, i.e. every axiom is a sentence. By replacing every axiom ψ with its universal closure ψ' we obtain an **equivalent** theory since for every structure \mathcal{A} (of the given language L),

$$\mathcal{A} \models \psi \quad \text{if and only if} \quad \mathcal{A} \models \psi'.$$

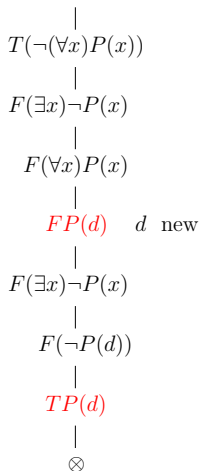
- 3) The language L is **countable**. Then every theory of L is countable. We denote by L_C the extension of L by new constant symbols c_0, c_1, \dots (countably many). Then there are countably many ground terms of L_C . Let t_i denote the i -th ground term (in some fixed **enumeration**).
- 4) First, we assume that the language is **without equality**.

Tableaux in predicate logic - examples

$$F((\exists x)\neg P(x) \rightarrow \neg(\forall x)P(x))$$



$$F(\neg(\forall x)P(x) \rightarrow (\exists x)\neg P(x))$$



Atomic tableaux - previous

An *atomic tableau* is one of the following trees (labeled by entries), where α is any atomic sentence and φ, ψ are any sentences, all of language L_C .

$T\alpha$	$F\alpha$	$\begin{array}{c} T(\varphi \wedge \psi) \\ \\ T\varphi \\ \\ T\psi \end{array}$	$\begin{array}{c} F(\varphi \wedge \psi) \\ / \quad \backslash \\ F\varphi \quad F\psi \end{array}$	$\begin{array}{c} T(\varphi \vee \psi) \\ / \quad \backslash \\ T\varphi \quad T\psi \end{array}$	$\begin{array}{c} F(\varphi \vee \psi) \\ \\ F\varphi \\ \\ F\psi \end{array}$
$\begin{array}{c} T(\neg\varphi) \\ \\ F\varphi \end{array}$	$\begin{array}{c} F(\neg\varphi) \\ \\ T\varphi \end{array}$	$\begin{array}{c} T(\varphi \rightarrow \psi) \\ / \quad \backslash \\ F\varphi \quad T\psi \end{array}$	$\begin{array}{c} F(\varphi \rightarrow \psi) \\ \\ T\varphi \\ \\ F\psi \end{array}$	$\begin{array}{c} T(\varphi \leftrightarrow \psi) \\ / \quad \backslash \\ T\varphi \quad F\varphi \\ \quad \\ T\psi \quad F\psi \end{array}$	$\begin{array}{c} F(\varphi \leftrightarrow \psi) \\ / \quad \backslash \\ T\varphi \quad F\varphi \\ \quad \\ F\psi \quad T\psi \end{array}$

Atomic tableaux - new

Atomic tableaux are also the following trees (labeled by entries), where φ is any formula of the language L_C with a free variable x , t is any ground term of L_C and c is a **new** constant symbol from $L_C \setminus L$.

# $T(\forall x)\varphi(x)$ $T\varphi(x/t)$ for any ground term t of L_C	* $F(\forall x)\varphi(x)$ $F\varphi(x/c)$ for a <i>new</i> constant c	* $T(\exists x)\varphi(x)$ $T\varphi(x/c)$ for a <i>new</i> constant c	# $F(\exists x)\varphi(x)$ $F\varphi(x/t)$ for any ground term t of L_C
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Remark The constant symbol c represents a “witness” of the entry $T(\exists x)\varphi(x)$ or $F(\forall x)\varphi(x)$. Since we need that no prior demands are put on c , we specify (in the definition of a tableau) which constant symbols c may be used.

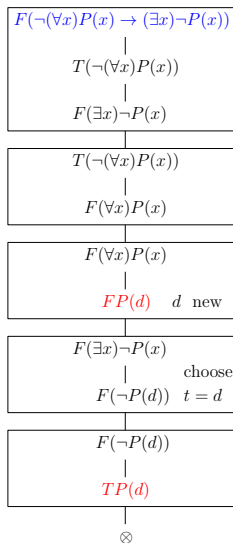
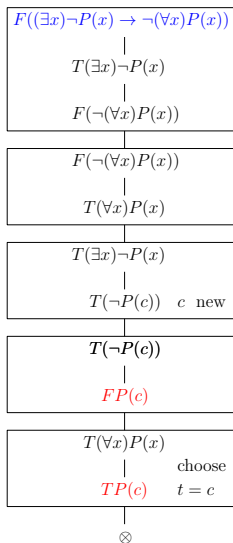
Tableau

A **finite tableau** from a theory T is a binary tree labeled with entries described

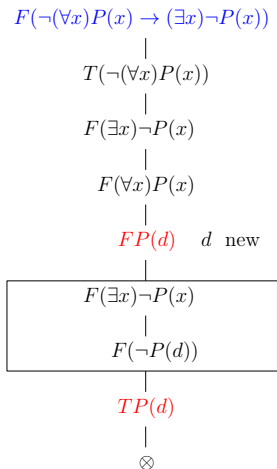
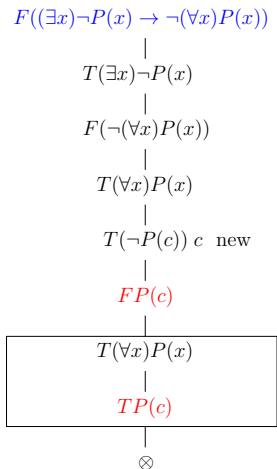
- (i) every atomic tableau is a finite tableau from T , whereas in case (*) we may use any constant symbol $c \in L_C \setminus L$,
- (ii) if P is an entry on a branch V in a finite tableau from T , then by adjoining the atomic tableau for P at the **end of branch** V we obtain (again) a finite tableau from T , whereas in case (*) we may use only a constant symbol $c \in L_C \setminus L$ that **does not appear** on V ,
- (iii) if V is a branch in a finite tableau from T and $\varphi \in T$, then by adjoining $T\varphi$ at the end of branch V we obtain (again) a finite tableau from T .
- (iv) every finite tableau from T is formed by **finitely** many steps (i), (ii), (iii).

A **tableau** from T is a sequence $\tau_0, \tau_1, \dots, \tau_n, \dots$ of finite tableaux from T such that τ_{n+1} is formed from τ_n by (ii) or (iii), formally $\tau = \cup \tau_n$.

Construction of tableaux



Convention



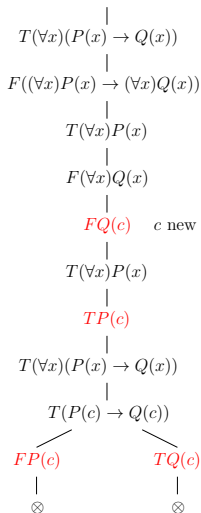
We will not write the entry that is expanded again on the branch, except in cases when the entry is in the form of $T(\forall x)\varphi(x)$ or $F(\exists x)\varphi(x)$.

Tableau proof

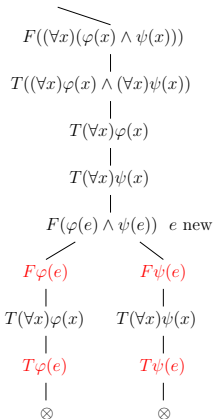
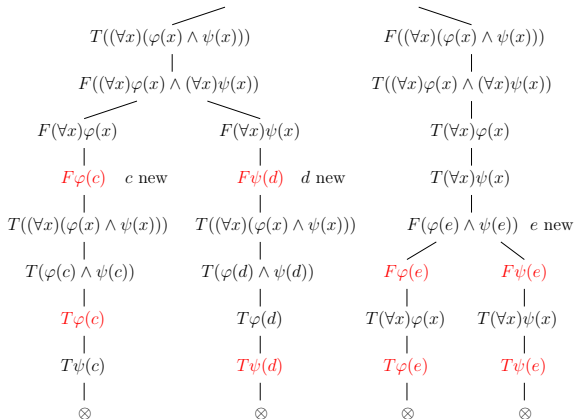
- A branch V in a tableau τ is *contradictory* if it contains entries $T\varphi$ and $F\varphi$ for some sentence φ , otherwise V is *noncontradictory*.
- A tableau τ is *contradictory* if every branch in τ is contradictory.
- A *tableau proof* (*proof by tableau*) of a sentence φ from a theory T is a *contradictory tableau* from T with $F\varphi$ in the root.
- A sentence φ is *(tableau) provable* from T , denoted by $T \vdash \varphi$, if it has a tableau proof from T .
- A *refutation* of a sentence φ by *tableau* from a theory T is a *contradictory tableau* from T with the root entry $T\varphi$.
- A sentence φ is *(tableau) refutable* from T if it has a refutation by tableau from T , i.e. $T \vdash \neg\varphi$.

Examples

$$F((\forall x)(P(x) \rightarrow Q(x)) \rightarrow ((\forall x)P(x) \rightarrow (\forall x)Q(x)))$$



$$F((\forall x)(\varphi(x) \wedge \psi(x)) \leftrightarrow ((\forall x)\varphi(x) \wedge (\forall x)\psi(x)))$$



Finished tableau

A finished noncontradictory branch should provide us with a *counterexample*.

An occurrence of an entry P in a node ν of a tableau τ is *i -th* if ν has exactly $i - 1$ predecessors labeled by P ; and is *reduced* on a branch V through ν if

- P is neither in form of $T(\forall x)\varphi(x)$ nor $F(\exists x)\varphi(x)$ and P occurs on V as a root of an atomic tableau, i.e. it was already expanded on V , or
- P is in form of $T(\forall x)\varphi(x)$ or $F(\exists x)\varphi(x)$, P has an $(i + 1)$ -th occurrence on V , and V contains an entry $T\varphi(x/t_i)$ resp. $F\varphi(x/t_i)$ where t_i is the i -th ground term (of the language L_C).

Let V be a branch in a tableau τ from a theory T . We say that

- V is *finished* if it is contradictory, or every occurrence of an entry on V is reduced on V and, moreover, V contains $T\varphi$ for every $\varphi \in T$,
- τ is *finished* if every branch in τ is finished.

Systematic tableau - construction

Let R be an entry and $T = \{\varphi_0, \varphi_1, \dots\}$ be a (possibly infinite) theory.

- (1) We take the atomic tableau for R as τ_0 . In case (*) we choose any $c \in L_C \setminus L$, in case (#) we take t_1 for t . Till possible, proceed as follows.
- (2) Let v be the **leftmost** node in the **smallest** level as possible in tableau τ_n containing an occurrence of an entry P that is not reduced on some noncontradictory branch **through** v . (If v does not exist, we take $\tau'_n = \tau_n$.)
- (3a) If P is neither $T(\forall x)\varphi(x)$ nor $F(\exists x)\varphi(x)$, let τ'_n be the tableau obtained from τ_n by adjoining the atomic tableau for P to every noncontradictory branch through v . In case (*) we choose c_i for the smallest possible i .
- (3b) If P is $T(\forall x)\varphi(x)$ or $F(\exists x)\varphi(x)$ and it has i -th occurrence in v , let τ'_n be the tableau obtained from τ_n by adjoining atomic tableau for P to every noncontradictory branch through v , where we take the term t_i for t .
- (4) Let τ_{n+1} be the tableau obtained from τ'_n by adjoining $T\varphi_n$ to every noncontradictory branch that does not contain $T\varphi_n$ yet. (If φ_n does not exist, we take $\tau_{n+1} = \tau'_n$.)

The **systematic tableau** for R from T is the result $\tau = \bigcup \tau_n$ of this construction.

Systematic tableau - an example

$$T((\exists y)(\neg R(y, y) \vee P(y, y)) \wedge (\forall x)R(x, x))$$

$$T(\exists y)(\neg R(y, y) \vee P(y, y))$$

$$T(\forall x)R(x, x)$$

$$T(\neg R(c_0, c_0) \vee P(c_0, c_0)) \quad c_0 \text{ new}$$

$$T(\forall x)R(x, x)$$

$$TR(c_0, c_0) \quad (\text{assuming that } t_1 = c_0)$$

$$T(\neg R(c_0, c_0))$$

$$TP(c_0, c_0)$$

$$T(\forall x)R(x, x)$$

$$T(\forall x)R(x, x)$$

$$TR(t_2, t_2)$$

$$TR(t_2, t_2)$$

$$FR(c_0, c_0)$$

$$T(\forall x)R(x, x)$$

$$\otimes$$

$$TR(t_3, t_3)$$

$$\vdots$$

Systematic tableau - being finished

Proposition Every systematic tableau is *finished*.

Proof Let $\tau = \cup \tau_n$ be a systematic tableau from $T = \{\varphi_0, \varphi_1, \dots\}$ with root R and let P be an entry in a node ν of the tableau τ .

- There are only finitely many entries in τ in levels up to the level of ν .
- If the occurrence of P in ν was unreduced on some noncontradictory branch in τ , it would be found in some step (2) and reduced by (3a), (3b).
- By step (4) every $\varphi_n \in T$ will be (no later than) in τ_{n+1} on every noncontradictory branch.
- Hence the systematic tableau τ has all branches finished. \square

Proposition If a systematic tableau τ is a proof (from a theory T), it is finite.

Proof Suppose that τ is infinite. Then by **König's lemma**, τ contains an infinite branch. This branch is noncontradictory since in the construction only noncontradictory branches are prolonged. But this contradicts the assumption that τ is a contradictory tableau. \square

Equality

Axioms of equality for a language L with equality are

- (i) $x = x$
- (ii) $x_1 = y_1 \wedge \dots \wedge x_n = y_n \rightarrow f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$
for each n -ary function symbol f of the language L .
- (iii) $x_1 = y_1 \wedge \dots \wedge x_n = y_n \rightarrow (R(x_1, \dots, x_n) \rightarrow R(y_1, \dots, y_n))$
for each n -ary relation symbol R of the language L including $=$.

A *tableau proof* from a theory T in a language L *with equality* is a tableau proof from T^* where T^* denotes the extension of T by adding axioms of equality for L (*resp. their universal closures*).

Remark In context of logic programming the equality often has other meaning than in mathematics (*identity*). For example in Prolog, $t_1 = t_2$ means that t_1 and t_2 are unifiable.

Congruence and quotient structure

Let \sim be an equivalence on A , $f : A^n \rightarrow A$, and $R \subseteq A^n$ for $n \in \mathbb{N}$. Then \sim is

- a *congruence for the function* f if for every $x_1, \dots, x_n, y_1, \dots, y_n \in A$

$$x_1 \sim y_1 \wedge \dots \wedge x_n \sim y_n \Rightarrow f(x_1, \dots, x_n) \sim f(y_1, \dots, y_n),$$
- a *congruence for the relation* R if for every $x_1, \dots, x_n, y_1, \dots, y_n \in A$

$$x_1 \sim y_1 \wedge \dots \wedge x_n \sim y_n \Rightarrow (R(x_1, \dots, x_n) \Leftrightarrow R(y_1, \dots, y_n)).$$

Let an equivalence \sim on A be a congruence for every function and relation in a structure $\mathcal{A} = \langle A, \mathcal{F}^A, \mathcal{R}^A \rangle$ of language $L = \langle \mathcal{F}, \mathcal{R} \rangle$. Then the *quotient (structure)* of \mathcal{A} by \sim is the structure $\mathcal{A}/\sim = \langle A/\sim, \mathcal{F}^{A/\sim}, \mathcal{R}^{A/\sim} \rangle$ where

$$f^{A/\sim}([x_1]_{\sim}, \dots, [x_n]_{\sim}) = [f^A(x_1, \dots, x_n)]_{\sim}$$

$$R^{A/\sim}([x_1]_{\sim}, \dots, [x_n]_{\sim}) \Leftrightarrow R^A(x_1, \dots, x_n)$$

for each $f \in \mathcal{F}$, $R \in \mathcal{R}$, and $x_1, \dots, x_n \in A$, i.e. the functions and relations are defined from \mathcal{A} using *representatives*.

Example: \mathbb{Z}_p is the quotient of $\mathbb{Z} = \langle \mathbb{Z}, +, -, 0 \rangle$ by the congruence modulo p .

Role of axioms of equality

Let \mathcal{A} be a structure of a language L in which the equality is interpreted as a relation $=^A$ satisfying the axioms of equality for L , i.e. not necessarily the identity relation.

- 1) From axioms (i) and (iii) it follows that the relation $=^A$ is an **equivalence**.
- 2) Axioms (ii) and (iii) express that the relation $=^A$ is a **congruence** for every function and relation in \mathcal{A} .
- 3) If $\mathcal{A} \models T^*$ then also $(\mathcal{A}/=^A) \models T^*$ where $\mathcal{A}/=^A$ is the **quotient** of \mathcal{A} by $=^A$. Moreover, the equality is interpreted in $\mathcal{A}/=^A$ as the identity relation.

On the other hand, in every model in which the equality is interpreted as the identity relation, all axioms of equality evidently hold.

Soundness

We say that a model \mathcal{A} *agrees* with an entry P , if P is $T\varphi$ and $\mathcal{A} \models \varphi$ or if P is $F\varphi$ and $\mathcal{A} \models \neg\varphi$, i.e. $\mathcal{A} \not\models \varphi$. Moreover, \mathcal{A} *agrees* with a branch V if \mathcal{A} agrees with every entry on V .

Lemma *Let \mathcal{A} be a model of a theory T of a language L that agrees with the root entry R in a tableau $\tau = \cup \tau_n$ from T . Then \mathcal{A} can be **expanded** to the language L_C so that it agrees with **some** branch V in τ .*

Remark *It suffices to expand \mathcal{A} only by constants c^A such that $c \in L_C \setminus L$ occurs on V , other constants may be defined arbitrarily.*

Proof By induction on n we find a branch V_n in τ_n and an expansion \mathcal{A}_n of \mathcal{A} by constants c^A for all $c \in L_C \setminus L$ on V_n s.t. \mathcal{A}_n agrees with V_n and $V_{n-1} \subseteq V_n$.

Assume we have a branch V_n in τ_n and an expansion \mathcal{A}_n that agrees with V_n .

- If τ_{n+1} is formed from τ_n without extending the branch V_n , we take $V_{n+1} = V_n$ and $\mathcal{A}_{n+1} = \mathcal{A}_n$.
- If τ_{n+1} is formed from τ_n by appending $T\varphi$ to V_n for some $\varphi \in T$, let V_{n+1} be this branch and $\mathcal{A}_{n+1} = \mathcal{A}_n$. Since $\mathcal{A} \models \varphi$, \mathcal{A}_{n+1} agrees with V_{n+1} .

Soundness - proof (cont.)

- Otherwise τ_{n+1} is formed from τ_n by appending an atomic tableau to V_n for some entry P on V_n . By induction we know that \mathcal{A}_n agrees with P .
- (i) If P is formed by a **logical connective**, we take $\mathcal{A}_{n+1} = \mathcal{A}_n$ and verify that V_n can always be extended to a branch V_{n+1} agreeing with \mathcal{A}_{n+1} .
- (ii) If P is in form $T(\forall x)\varphi(x)$, let V_{n+1} be the (unique) extension of V_n to a branch in τ_{n+1} , i.e. by the entry $T\varphi(x/t)$. Let \mathcal{A}_{n+1} be **any** expansion of \mathcal{A}_n by new constants from t . Since $\mathcal{A}_n \models (\forall x)\varphi(x)$, we have $\mathcal{A}_{n+1} \models \varphi(x/t)$. Analogously for P in form $F(\exists x)\varphi(x)$.
- (iii) If P is in form $T(\exists x)\varphi(x)$, let V_{n+1} be the (unique) extension of V_n to a branch in τ_{n+1} , i.e. by the entry $T\varphi(x/c)$. Since $\mathcal{A}_n \models (\exists x)\varphi(x)$, there is some $a \in A$ with $\mathcal{A}_n \models \varphi(x)[e(x/a)]$ for every assignment e . Let \mathcal{A}_{n+1} be the expansion of \mathcal{A}_n by a new constant $c^A = a$. Then $\mathcal{A}_{n+1} \models \varphi(x/c)$. Analogously for P in form $F(\forall x)\varphi(x)$.

The base step for $n = 0$ follows from similar analysis of atomic tableaux for the root entry R applying the assumption that \mathcal{A} agrees with R . \square

Theorem on soundness

We will show that the tableau method in predicate logic is *sound*.

Theorem For every theory T and sentence φ , if φ is tableau provable from T , then φ is valid in T , i.e. $T \vdash \varphi \Rightarrow T \models \varphi$.

Proof

- Let φ be tableau provable from a theory T , i.e. there is a contradictory tableau τ from T with the root entry $F\varphi$.
- Suppose for a contradiction that φ is not valid in T , i.e. there exists a model \mathcal{A} of the theory T in which φ is not true (a *counterexample*).
- Since \mathcal{A} agrees with the root entry $F\varphi$, by the previous lemma, \mathcal{A} can be expanded to the language L_C so that it agrees with some branch in τ .
- But this is impossible, since every branch of τ is contradictory, i.e. it contains a pair of entries $T\psi, F\psi$ for some sentence ψ . \square