

# Subset Synchronization of Transitive Automata

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# Outline

- 1 Synchronization of a DFA
  - DFA
  - Classical Synchronization
  - Subset Synchronization & The Result
- 2 Depth of Transformations
- 3 Proof Methods

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# Finite Automata

- DFA is a triple  $A = (Q, \Sigma, \delta)$ 
  - $Q$  ... finite set of *states*
  - $\Sigma$  ... finite set of *letters* (the *alphabet*)
  - $\delta$  ... total function  $Q \times \Sigma \rightarrow Q$  (*transition function*)
- Extended transition function:

$$\delta : 2^Q \times \Sigma^* \rightarrow 2^Q$$

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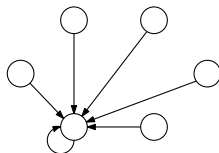
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- $w \in \Sigma^*$  is a *reset word* of  $A$  if

$$|\delta(Q, w)| = 1,$$

i.e. if  $w$  acts like



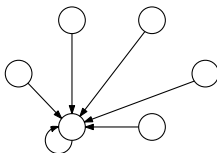
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# Shortest Reset Words

## ■ Černý conjecture:

- If  $A$  is synchronizing, it has a reset word of length at most  $(|Q| - 1)^2$

## ■ Known upper bounds:

- $\frac{1}{3} |Q|^3 - n^2 + \frac{5}{3}n - 1$  (Kohavi, 1970)
- $\frac{1}{6} |Q|^3 - \frac{1}{6}n$  (Pin, 1983)

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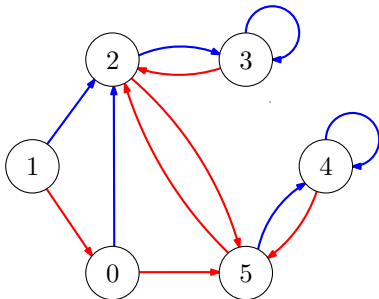
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## Subset Reset Words: an Example

$$Q = \{0, 1, 2, 3, 4, 5\}$$

$$\Sigma = \{a, b\}$$



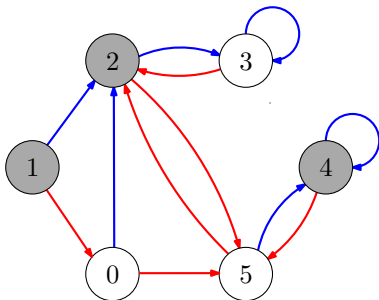
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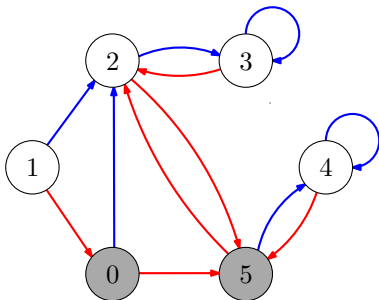
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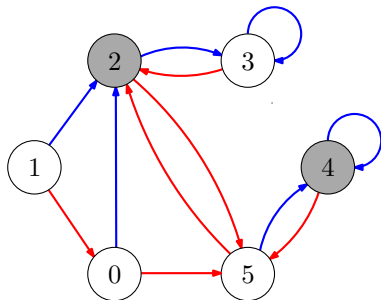
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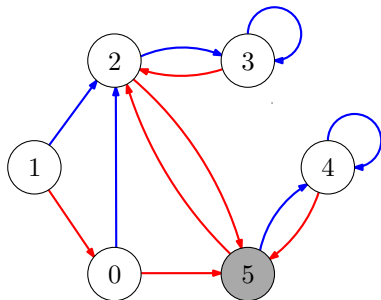
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is a reset word of  $S$



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# Bounds for Shortest Reset Words

## Classical Synchronization

Upper  
Bounds

$$\mathcal{O}(|Q|^3)$$

Lower  
Bounds

$$\mathcal{O}(|Q|^2)$$

## Bounds for Shortest Reset Words

	Classical Synchronization	Subset Synchronization
Upper Bounds	$\mathcal{O}( Q ^3)$	$2^{\mathcal{O}( Q )}$
Lower Bounds	$\mathcal{O}( Q ^2)$	$2^{\Omega( Q )}$

## Bounds for Shortest Reset Words

Over constant-size alphabets:

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Lower Bounds	$\mathcal{O}( Q ^2)$	Former: $2^{\Omega\left(\frac{ Q }{\log Q }\right)}$ New: $2^{\Omega( Q )}$

## Lower Bound Construction

Infinite series of DFA satisfying:

- $|Q|$  grows,  $|\Sigma| = 2$
- There is always a subset  $S \subseteq Q$  with a shortest reset word of length  $2^{\Omega(|Q|)}$ .
- Transitivity

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- Full Transformation Monoid  $\mathcal{T}_n$
- $\mathbf{G} \subseteq \mathcal{T}_n$
- $\langle \mathbf{G} \rangle \subseteq \mathcal{T}_n$
- *Depth of  $f \in \langle \mathbf{G} \rangle$*

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## Lower Bound Construction

Infinite series of sets  $\mathbf{G} \subseteq \mathcal{T}_n$  satisfying:

- $n$  is growing
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Using bad cases of subset synchronization:

$$\text{DFA } A = ([n], \Sigma, \delta) \longrightarrow \mathbf{G} \subseteq \mathcal{T}_n$$

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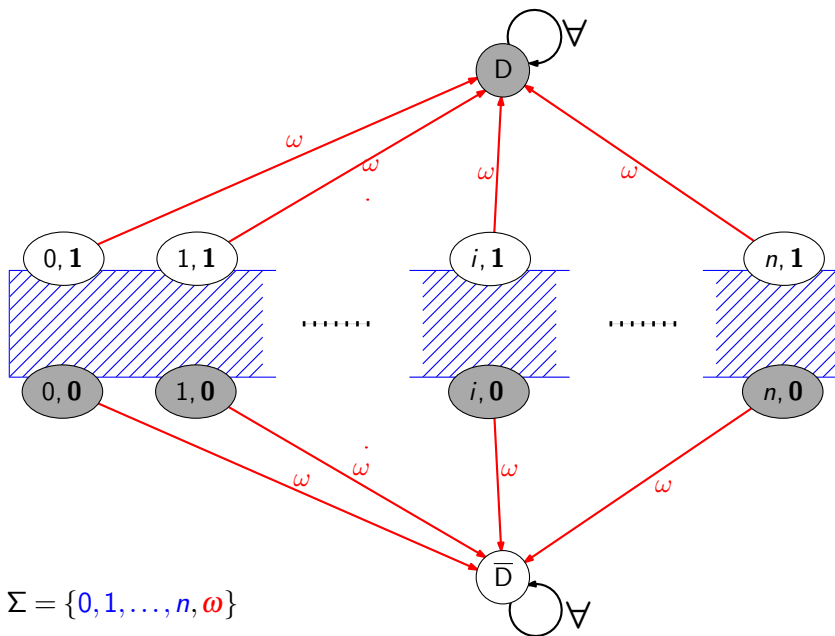
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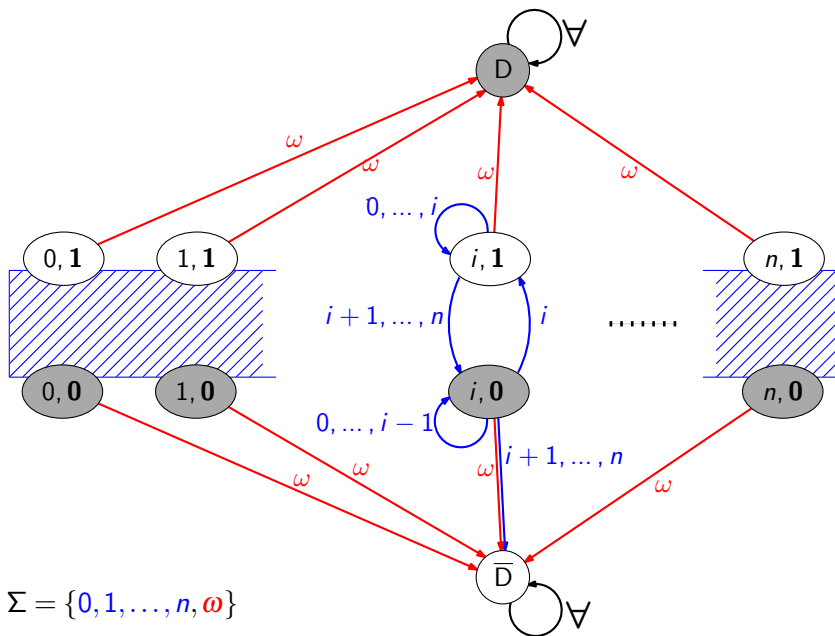
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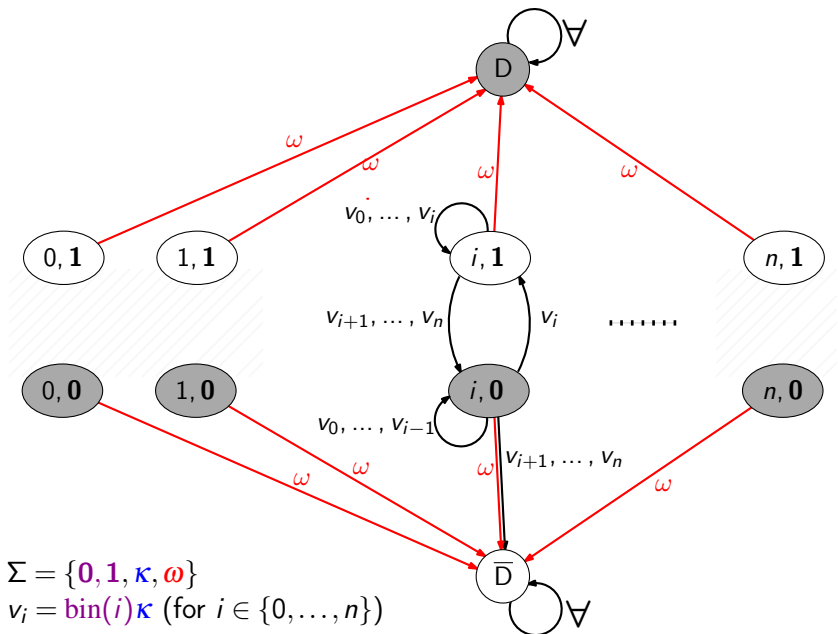
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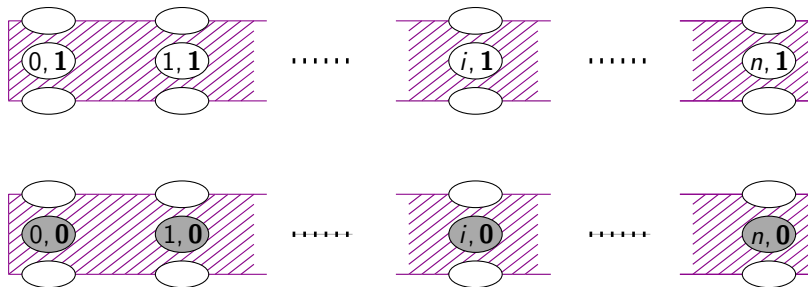
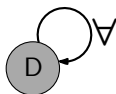




## Reducing the Alphabet Size

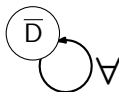


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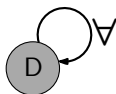


$$\Sigma = \{0, 1, \kappa, \omega\}$$

$$v_i = \text{bin}(i)\kappa \text{ (for } i \in \{0, \dots, n\})$$

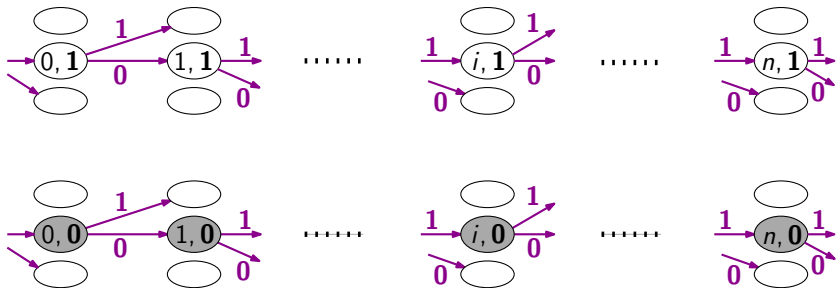


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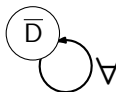
*De Bruijn sequence*

e.g.  $0, 1, \dots, 1, 0, \dots, 1, 1$

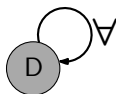


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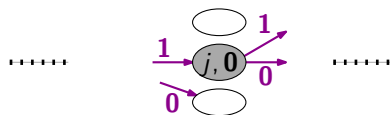
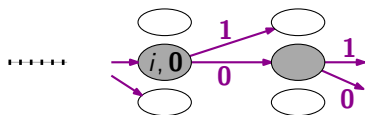
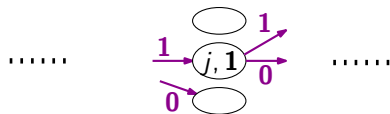
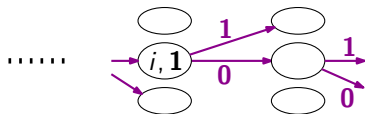
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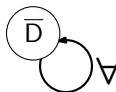


$$j = i + \log n$$

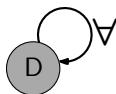


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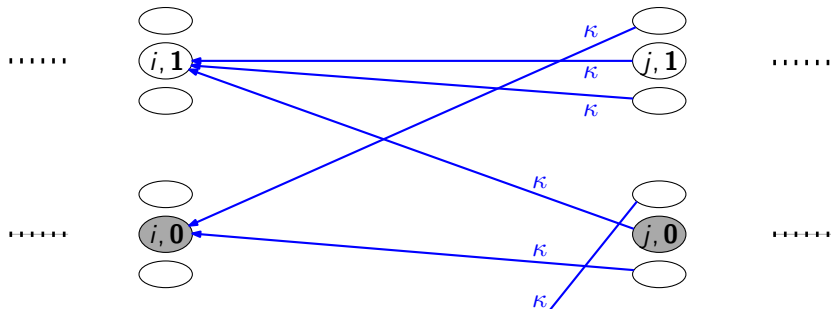
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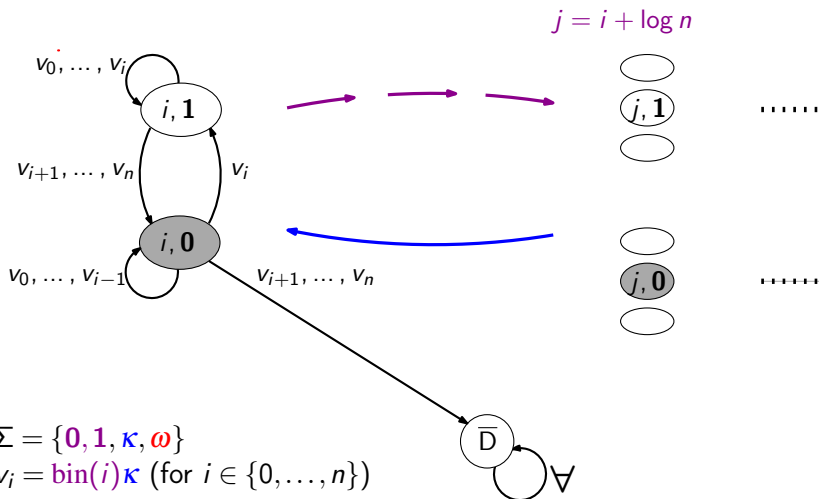
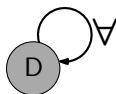
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# The Entire Construction

- DFA with 4-letter alphabets and subsets with exponential shortest r. w.
- A suitable method for making a DFA transitive.
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# Conclusion

- Some subsets require strongly exponential reset words even in transitive DFA with two-letter alphabets.
- Some transformations have strongly exponential depth even with respect to two generators.