

# Introduction to Constraint Satisfaction

**Roman Barták**

Charles University, Prague (CZ)



Consistency techniques

So far, we used constraints in a passive way (as a test).

In the best case we analysed the reason of the conflict.

## Can we use the constraints in a more active way?

*Example:*

A in 3..7, B in 1..5 the variables' domains

A < B the constraint

– many inconsistent values can be removed

– we get A in 3..4, B in 4..5

*Note:* it does not mean that all the remaining combinations of the values are consistent (for example A=4, B=4 is not consistent)

- How to remove the inconsistent values from the variables' domains in the constraint network?

We will assume binary CSPs only (recall binarization)  
i.e. a constraint corresponds to an arc (edge) in the  
constraint network.

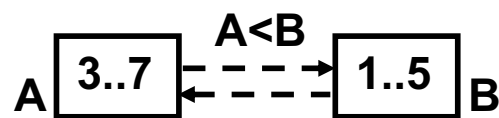
### Definition:

- The arc  $(V_i, V_j)$  is **arc consistent** iff for each value  $x$  from the domain  $D_i$  there exists a value  $y$  in the domain  $D_j$  such that the assignment  $V_i = x$  and  $V_j = y$  satisfies all the binary constraints on  $V_i, V_j$ .

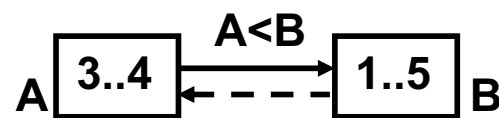
*Note:* The concept of arc consistency is directional, i.e., arc consistency of  $(V_i, V_j)$  does not guarantee consistency of  $(V_j, V_i)$ .

- **CSP is arc consistent** iff every arc  $(V_i, V_j)$  is arc consistent (in both directions).

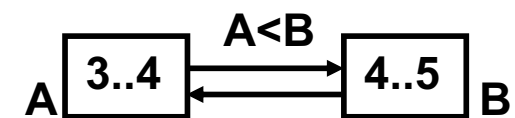
### Example:



no arc is consistent



(A,B) is consistent



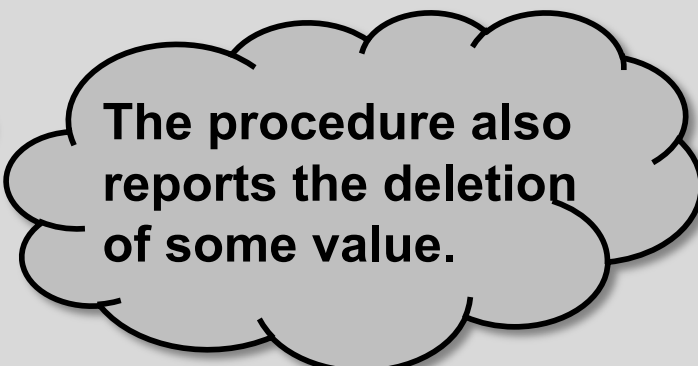
(A,B) and (B,A) are consistent

## How to make $(V_i, V_j)$ arc consistent?

- Delete all the values  $x$  from the domain  $D_i$  that are inconsistent with all the values in  $D_j$  (there is no value  $y$  in  $D_j$  such that the instantiation  $V_i = x, V_j = y$  satisfies all the binary constraints on  $V_i$  and  $V_j$ ).

## Algorithm of arc revision

```
procedure REVISE((i,j))
  DELETED ← false
  for each X in  $D_i$  do
    if there is no such Y in  $D_j$  such that (X,Y) is consistent, i.e.,
      (X,Y) satisfies all the constraints on  $V_i, V_j$  then
      delete X from  $D_i$ 
      DELETED ← true
    end if
  end for
  return DELETED
end REVISE
```



The procedure also reports the deletion of some value.

## How to make a CSP arc consistent?

- Do revision of every arc.

Beware, this is not enough! Pruning the domain may make some already revised arcs inconsistent again.

$A < B, B < C$ :  $(\underline{3..7}, \overset{\curvearrowright}{1..5}, 1..5)$   $(3..4, \overset{\curvearrowright}{\underline{1..5}}, 1..5)$   $(3..4, \underline{4..5}, \overset{\curvearrowright}{1..5})$   $(3..4, \overset{\curvearrowright}{4}, \underline{1..5})$   $(\underline{3..4}, \overset{\curvearrowright}{4}, 5)$   $(3, 4, 5)$

- Thus the arc revisions will be repeated until any domain is changed.

### Algorithm AC-1

```

procedure AC-1(G)
  repeat
    CHANGED ← false
    for each arc (i,j) in G do
      CHANGED ← REVISE((i,j)) or CHANGED
    end for
  until not(CHANGED)
end AC-1
  
```



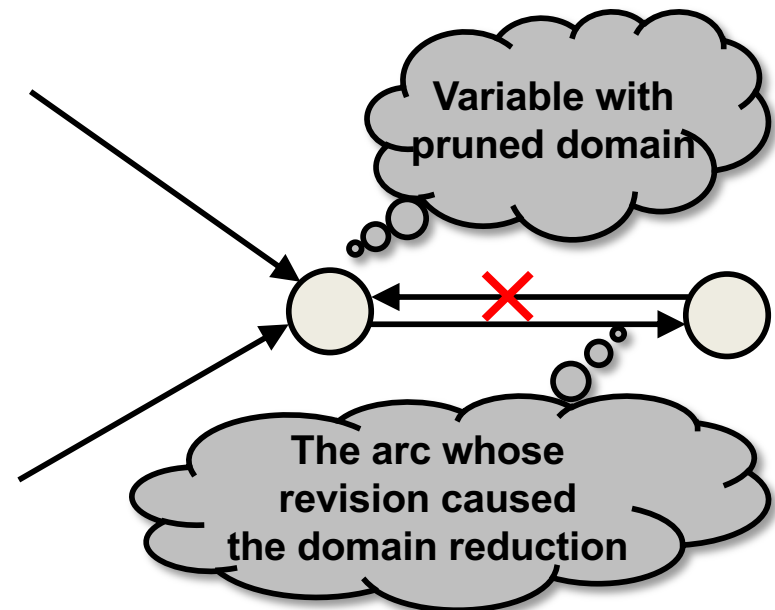
- If a single domain is pruned, then revisions of all the arcs are repeated even if the pruned domain does not influence most of these arcs.

## Which arcs should be reconsidered for revisions?

- The arcs whose consistency is affected by the domain pruning, i.e., the arcs pointing to the changed variable.

## We can omit one more arc!

Omit the arc running out of the variable whose domain has been changed (this arc is not affected by the domain change).



## Principles of AC-3:

1. using a queue of arcs for (re-)revisions
2. only the arcs affected by domain reduction are added to the queue

## Algorithm AC-3

```
procedure AC-3(G)
  Q ← {(i,j) | (i,j) ∈ arcs(G), i ≠ j}      % queue of arcs for revision
  while Q nonempty do
    select and delete (k,m) from Q
    if REVISE((k,m)) then
      Q ← Q ∪ {(i,k) | (i,k) ∈ arcs(G), i ≠ k, i ≠ m}
    end if
  end while
end AC-3
```

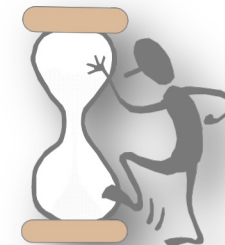


**AC-3 is the most widely used consistency algorithm, but it is still not optimal.**

- **AC-4 (Mohr, Henderson 1986)**
  - find supports for each value of every variable
  - removes values that have no support (lost support)
  - optimal worst-case time complexity
- **AC-5 (Hentenryck, Deville, Teng 1992)**
  - a generic arc-consistency algorithm
  - can be reduced both to AC-3 and AC-4
  - exploits semantic of the constraint
  - functional, anti-functional, and monotonic constraints
- **AC-6 (Bessiere 1994)**
  - improves memory complexity and average time complexity of AC-4
  - keeps one support only, the next support is looked for when the current support is lost

## Some observations:

- AC-3 is not (theoretically) optimal
- AC-4 is (theoretically) optimal but (practically) slow
- AC-6 is (practically) faster than AC-4, but quite complicated



## What is inefficient in AC-3?

- Looking for supports in REVISE starts from scratch!

if „there is no such  $Y$  in  $D_j$  such that  $(X,Y)$  is consistent“ then

## AC-3.1

- same run as AC-3
- but for each value, it remembers the last support in the constraint and the next time, it starts looking for a support at this value

```

procedure EXIST((i,x),j)
  y ← last((i,x),j)
  if y ∈ Dj then return true
  while y ← next(y,Dj) & y ≠ nil do
    if (x,y) ∈ C(i,j) then
      last((i,x),j) ← y
      return true
  end while
  return false
end EXIST
  
```

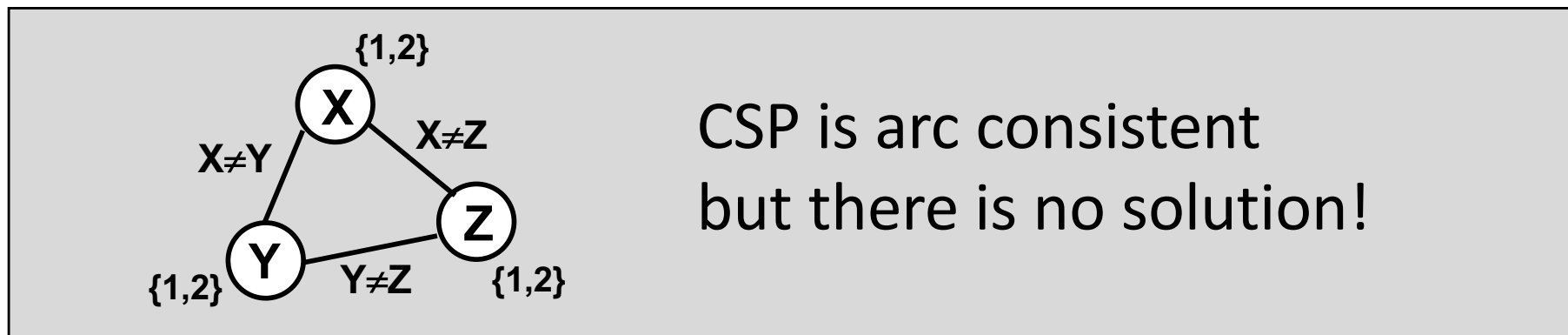


By applying AC we remove many inconsistent values.

- **Did we solve the problem?**
- **Do we know that a solution exists?**

**NO and NO!**

**Example:**



**What is advantage of using AC?**

- Sometimes **AC directly provides a solution.**
  - any domain is empty → no solution exists
  - all domains are singleton → this is a solution
- In general, AC **reduces the search space.**

## How to strengthen the consistency level?

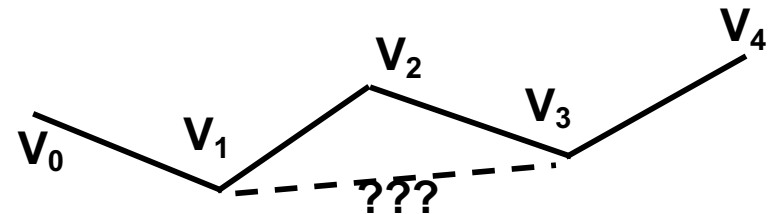
**More constraints are assumed together!**

### Definition:

- The path  $(V_0, V_1, \dots, V_m)$  is **path consistent** iff for every pair of values  $x \in D_0$  and  $y \in D_m$  satisfying all the binary constraints on  $V_0, V_m$  there exists an assignment of variables  $V_1, \dots, V_{m-1}$  such that all the binary constraints between the neighbouring variables  $V_i, V_{i+1}$  are satisfied.
- CSP is **path consistent** iff every path is consistent.

### Beware:

- only the **constraints between the neighboring variables** must be satisfied



**It is not very practical to make all paths consistent.**

**Fortunately, it is enough to make path of length 2 consistent!**

**Theorem:** CSP is PC if and only if all paths of length 2 are PC.

**Proof:**

1) PC  $\Rightarrow$  paths of length 2 are PC

2) All paths of length 2 are PC  $\Rightarrow \forall N$  paths of length  $N$  are PC  $\Rightarrow$  PC

induction using the path length

a)  $N=2$  trivially true

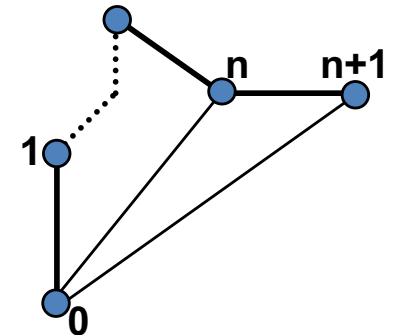
b)  $N+1$  (assuming that the theorem holds for  $N$ )

i) take any  $N+2$  nodes  $V_0, V_1, \dots, V_{n+1}$

ii) take any two consistent values  $x_0 \in D_0$  and  $x_{n+1} \in D_{n+1}$

iii) using a) find the value  $x_n \in D_n$  s.t.  $P_{0,n}$  and  $P_{n,n+1}$  holds

iv) using induction find the other values  $V_0, V_1, \dots, V_n$



## **Does PC cover AC (if CSP PC, then is it also AC)?**

- arc  $(i, j)$  is consistent (AC), if the path  $(i, j, i)$  is consistent (PC)
- PC implies AC

## **Is PC stronger than AC (is there any CSP which is AC but not PC)?**

**Example:**  $X$  in  $\{1,2\}$ ,  $Y$  in  $\{1,2\}$ ,  $Z$  in  $\{1,2\}$ ,  $X \neq Z$ ,  $X \neq Y$ ,  $Y \neq Z$

- It is AC, but not PC ( $X=1, Z=2$  is not consistent over  $X, Y, Z$ )

**AC removes inconsistent values from the domains.**

## **What is done by PC algorithms?**

- **PC removes pairs of inconsistent values**
- PC makes all relations explicit ( $A < B, B < C \Rightarrow A + 1 < C$ )
- unary constraint = domain of the variable

PC algorithms will remove pairs of values

↳ we need to represent the constraints explicitly

## Binary constraints = $\{0,1\}$ -matrix

0 – pair of values is inconsistent

1 – pair of values is consistent

### Example (5-queens problem)

constraint between queens  $i$  and  $j$ :  $r(i) \neq r(j) \ \& \ |i-j| \neq |r(i)-r(j)|$

Matrix representation for  
constraint A(1) - B(2)

```

0 0 1 1 1
0 0 0 1 1
1 0 0 0 1
1 1 0 0 0
1 1 1 0 0
    
```

	A	B	C	D	E
1					
2			X		
3		X			
4	♔	X	X		
5		X			

Matrix representation for  
constraint A(1) - C(3)

```

0 1 0 1 1
1 0 1 0 1
0 1 0 1 0
1 0 1 0 1
1 1 0 1 0
    
```

## Constraint intersection $R_{ij} \& R'_{ij}$

bitwise AND

$$A < B \quad \& \quad A \geq B-1 \quad \rightarrow \quad B-1 \leq A < B$$

$$011 \quad \& \quad 110 \quad = \quad 010$$

$$001 \quad \& \quad 111 \quad = \quad 001$$

$$000 \quad \& \quad 111 \quad = \quad 000$$

## Constraint join $R_{ik} * R_{kj} \rightarrow R_{ij}$

Binary matrix multiplication

$$A < B \quad * \quad B < C \quad \rightarrow \quad A < C-1$$

$$011 \quad * \quad 011 \quad = \quad 001$$

$$001 \quad * \quad 001 \quad = \quad 000$$

$$000 \quad * \quad 000 \quad = \quad 000$$

**Induced constraint** is intersected with the original constraint

$$R_{ij} \& (R_{ik} * R_{kj}) \rightarrow R_{ij}$$

$$\begin{array}{l}
 R_{25} \quad \& \quad (R_{21} * R_{15}) \quad \rightarrow \quad R_{25} \\
 01101 \quad \& \quad 00111 \quad 01110 \quad \rightarrow \quad 01101 \\
 10110 \quad \& \quad 00011 \quad 10111 \quad \rightarrow \quad 10110 \\
 \mathbf{11011} \quad \& \quad \mathbf{10001} * \mathbf{11011} \quad = \quad \mathbf{01010} \\
 01101 \quad \& \quad 11000 \quad 11101 \quad \rightarrow \quad 01101 \\
 10110 \quad \& \quad 11100 \quad 01110 \quad \rightarrow \quad 10110
 \end{array}$$

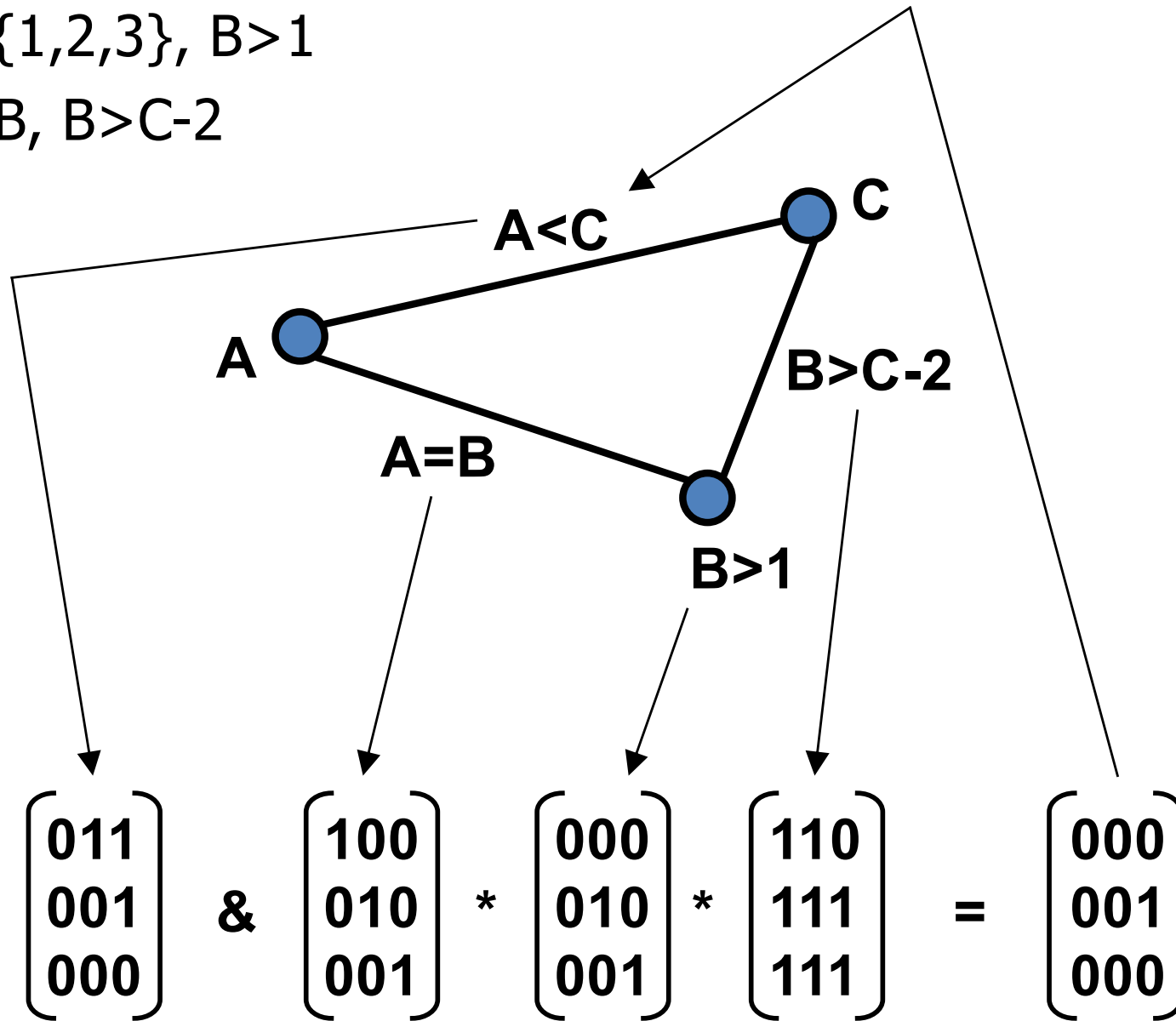
	A	B	C	D	E
1	✗	✗			👑
2	✗				
3	✗	👑			✗
4	✗	✗			
5	✗				

## Notes:

$R_{ij} = R_{ji}^T$ ,  $R_{ij}$  is a diagonal matrix representing the domain of variable  
 REVISE((i,j)) from the AC algorithms is  $R_{ii} \leftarrow R_{ii} \& (R_{ij} * R_{jj} * R_{ji})$

$A, B, C \in \{1, 2, 3\}, B > 1$

$A < C, A = B, B > C - 2$



## How to make the path (i,k,j) consistent?

$$R_{ij} \leftarrow R_{ij} \& (R_{ik} * R_{kk} * R_{kj})$$

## How to make a CSP path consistent?

Repeated revisions of paths (of length 2) while any domain changes.

**procedure** PC-1(Vars,Constraints)

$n \leftarrow |\text{Vars}|$ ,  $Y^n \leftarrow \text{Constraints}$

**repeat**

$Y^0 \leftarrow Y^n$

**for**  $k = 1$  to  $n$  **do**

**for**  $i = 1$  to  $n$  **do**

**for**  $j = 1$  to  $n$  **do**

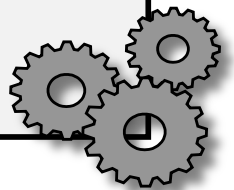
$Y^k_{ij} \leftarrow Y^{k-1}_{ij} \& (Y^{k-1}_{ik} * Y^{k-1}_{kk} * Y^{k-1}_{kj})$

**until**  $Y^n = Y^0$

Constraints  $\leftarrow Y^0$

**end** PC-1

If we use  
 $Y^k_{ii} \leftarrow Y^{k-1}_{ii} \& (Y^{k-1}_{ik} * Y^{k-1}_{kk} * Y^{k-1}_{ki})$   
 then we get AC-1



## Is there any inefficiency in PC-1?

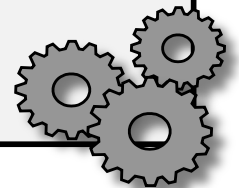
- just a few „bits“
  - it is not necessary to keep all copies of  $Y^k$   
one copy and a bit indicating the change is enough
  - some operations produce no modification ( $Y_{kk}^k = Y_{kk}^{k-1}$ )
  - half of the operations can be removed ( $Y_{ji} = Y_{ij}^T$ )
- **the grand problem**
  - after domain change all the paths are re-revised  
but it is enough to revise just the influenced paths



## Algorithm of path revision

```
procedure REVISE_PATH((i,k,j))  
  Z ←  $Y_{ij} \& (Y_{ik} * Y_{kk} * Y_{kj})$   
  if Z= $Y_{ij}$  then return false  
   $Y_{ij} \leftarrow Z$   
  return true  
end REVISE_PATH
```

If the domain is pruned  
then the influenced  
paths will be revised.



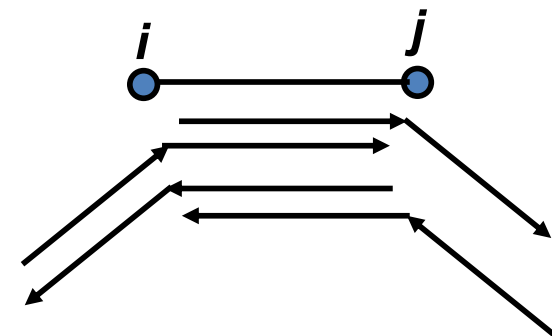
Because  $Y_{ji} = Y_{ij}^T$  it is enough to revise only the paths  $(i,k,j)$  where  $i \leq j$ .  
 Let the domain of the constraint  $(i,j)$  be changed when revising  $(i,k,j)$ :

## Situation a: $i < j$

*all the paths containing  $(i,j)$  or  $(j,i)$  must be re-revised*

but the paths  $(i,j,j)$ ,  $(i,i,j)$  are not revised again (no change)

$$\begin{aligned}
 S_a = & \{(i,j,m) \mid i \leq m \leq n \ \& \ m \neq j\} \\
 & \cup \{(m,i,j) \mid 1 \leq m \leq j \ \& \ m \neq i\} \\
 & \cup \{(j,i,m) \mid j < m \leq n\} \\
 & \cup \{(m,j,i) \mid 1 \leq m < i\} \\
 |S_a| = & 2n-2
 \end{aligned}$$



## Situation b: $i = j$

*all the paths containing  $i$  in the middle of the path are re-revised*

but the paths  $(i,i,i)$  and  $(k,i,k)$  are not revised again

$$\begin{aligned}
 S_b = & \{(p,i,m) \mid 1 \leq m \leq n \ \& \ 1 \leq p \leq m\} - \{(i,i,i), (k,i,k)\} \\
 |S_b| = & n*(n-1)/2 - 2
 \end{aligned}$$

**Paths in one direction only** (attention, this is not DPC!)

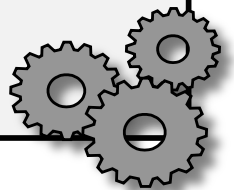
After every revision, the **affected paths are re-revised**

Algorithm PC-2

```
procedure PC-2(G)
  n ← |nodes(G)|
  Q ← {(i,k,j) | 1 ≤ i ≤ j ≤ n & i≠k & j≠k}
  while Q non empty do
    select and delete (i,k,j) from Q
    if REVISE_PATH((i,k,j)) then
      Q ← Q ∪ RELATED_PATHS((i,k,j))
  end while
end PC-2
```



```
procedure RELATED_PATHS((i,k,j))
  if i<j then return Sa else return Sb
end RELATED_PATHS
```



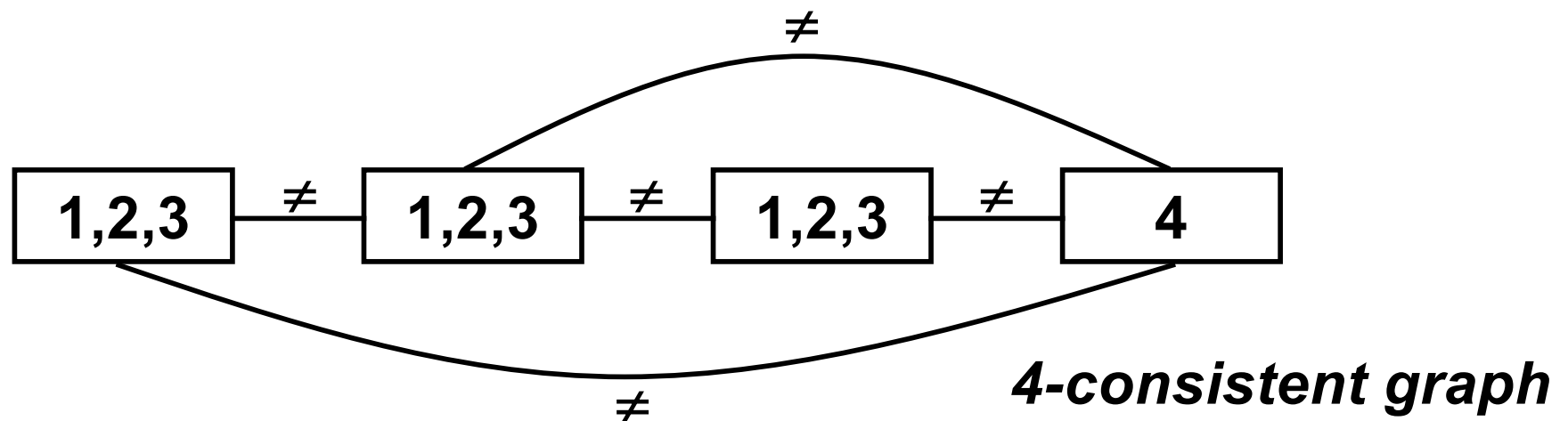
- **PC-3 (Mohr, Henderson 1986)**
  - based on computing supports for a value (like AC-4)
    - If pair  $(a,b)$  at arc  $(i,j)$  is not supported by another variable, then  $a$  is removed from  $D_i$  and  $b$  is removed from  $D_j$ .
  - **this algorithm is not sound!**
- **PC-4 (Han, Lee 1988)**
  - correction of the PC-3 algorithm
  - based on computing supports of pairs  $(b,c)$  at arc  $(i,j)$
- **PC-5 (Singh 1995)**
  - uses the ideas behind AC-6
  - only one support is kept and a new support is looked for when the current support is lost

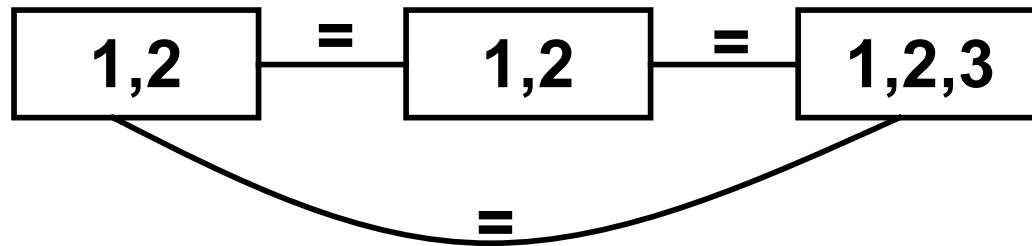
## Is there a common formalism for AC and PC?

- AC: a value is extended to another variable
- PC: a pair of values is extended to another variable
- ... we can continue

### Definition:

**CSP is  $k$ -consistent** if and only if any consistent assignment of  $(k-1)$  different variables can be extended to a consistent assignment of one additional variable.





**3-consistent graph**

**but not 2-consistent graph!**

## Definition:

A **CSP is strongly  $k$ -consistent** iff it is  $j$ -consistent for every  $j \leq k$ .

## Features:

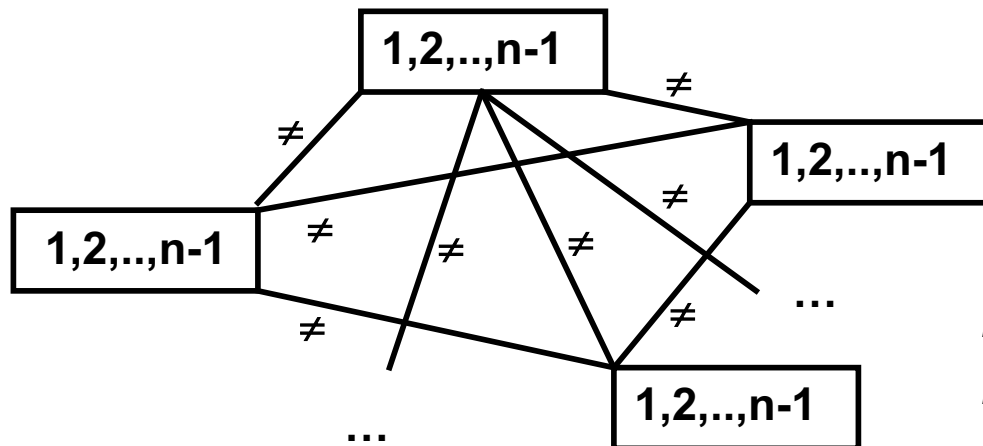
- **strong  $k$ -consistency  $\Rightarrow$   $k$ -consistency**
- **strong  $k$ -consistency  $\Rightarrow$   $j$ -consistency  $\forall j \leq k$**
- **$k$ -consistency  $\Rightarrow$  strong  $k$ -consistency** *does not hold in general*

## Naming scheme

- **NC (node consistency) = strong 1-consistency = 1-consistency**
- **AC = (strong ) 2-consistency**
- **PC = (strong ) 3-consistency**
  - sometimes we call NC+AC+PC together **strong path consistency**

# What $k$ -consistency is enough?

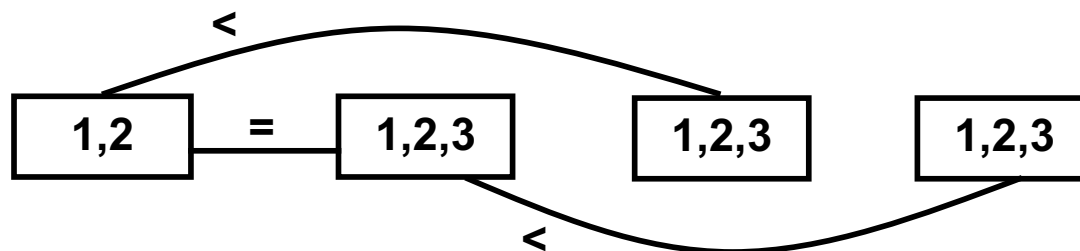
- Assume that the number of vertices is  $n$ . What level of consistency do we need to find out the solution?
- **Strong  $n$ -consistency for graphs with  $n$  vertices!**
  - $n$ -consistency is not enough - see the previous example
  - strong  $k$ -consistency where  $k < n$  is not enough as well



*graph with  $n$  vertices  
domains  $1..(n-1)$*

*It is strongly  $k$ -consistent for  $k < n$   
but it has no solution!*

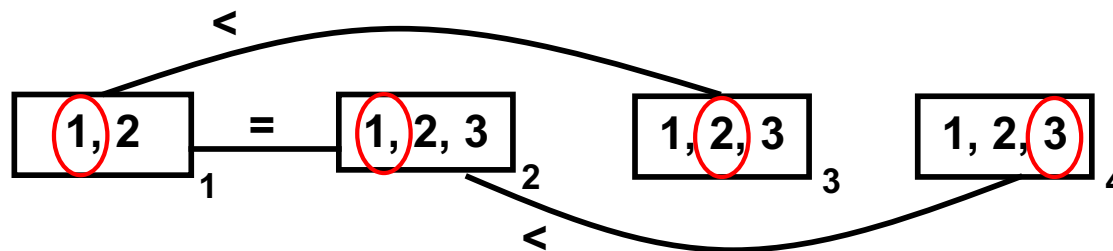
And what about this graph?



*AC is enough!  
Because this a tree..*

**Definition:**

**CSP is solved using backtrack-free search** if for some order of variables, we can find a value for each variable compatible with the values of already assigned variables.

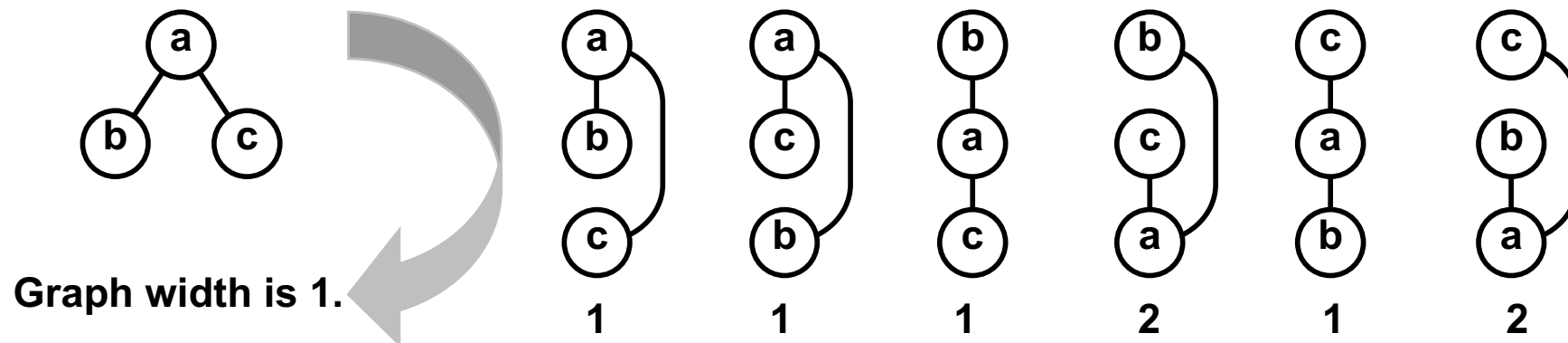


**How to find out a sufficient consistency level for a given graph?**

**Some observations:**

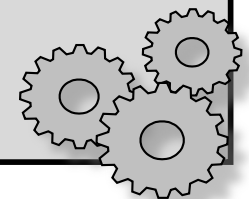
- variable must be compatible with all the “previous” variables  
i.e., across the „backward“ edges
- for  $k$  „backward“ edges we need  $(k+1)$ -consistency
- let  $m$  be the maximum number of backward edges for all the vertices,  
then strong  $(m+1)$ -consistency is enough
- the number of backward edges is different for different orders of variables
- of course, the order minimising  $m$  is looked for

- **Ordered graph** is a graph with some total ordering of nodes.
- **Node width** in the ordered graph is the number of backward edges from this node.
- **Width of the ordered graph** is the maximal width of its nodes.
- **Graph width** is the minimal width among all possible node orders.



```

procedure MinWidthOrdering((V,E))
    Q ← {}
    while V not empty do
        N ← select and delete node with the smallest #edges from (V,E)
        enqueue N to Q
    return Q
end MinWidthOrdering
    
```

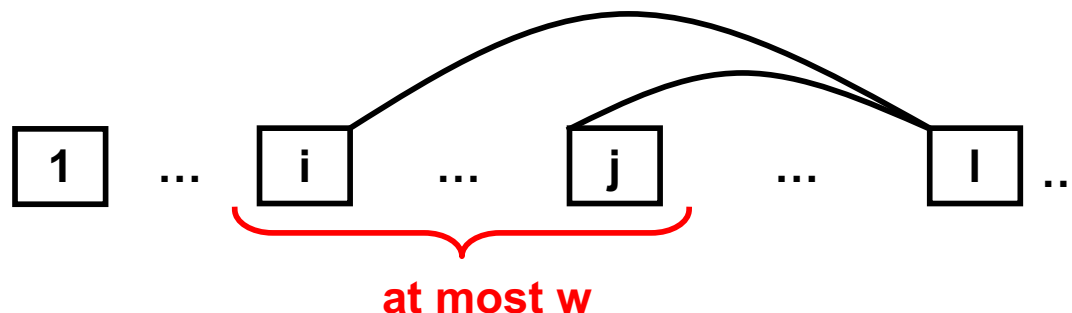


## Theorem:

If the constraint graph is strongly  $k$ -consistent for some  $k > w$ , where  $w$  is the graph width, then there exists an order of variables giving a backtrack-free search solution.

## Proof:

- there exists an ordering of nodes with the graph width  $w$ ,
- in particular, the number of backward edges for each node is at most  $w$ ,
- we will assign the variables in the order given by the above ordered graph
- now, when assigning a value to the variable:
  - we need to find a value consistent with the existing assignment, i.e., consistent with previous variables connected via arcs with the variable,
  - let  $m$  be the number of such variables, then  $m \leq w$
  - the graph is  $(m+1)$ -consistent, so the value must exist



So far, we assumed mainly **binary constraints**.

We can use binary constraints, because **every CSP can be converted to a binary CSP!**

**Is this really done in practice?**

- in many applications, non-binary constraints are naturally used, for example,  $a+b+c \leq 5$
- for such constraints we can do some local inference / propagation  
for example, if we know that  $a, b \geq 2$ , we can deduce that  $c \leq 1$
- within a single constraint, we can restrict the domains of variables to the values satisfying the constraint  
↳ **generalized arc consistency**

- **The value**  $x$  of variable  $V$  is **generalized arc consistent** with respect to constraint  $P$  if and only if there exist values for the other variables in  $P$  such that together with  $x$  they satisfy the constraint  $P$

**Example:**  $A+B \leq C$ ,  $A$  in  $\{1,2,3\}$ ,  $B$  in  $\{1,2,3\}$ ,  $C$  in  $\{1,2,3\}$

Value 1 for  $C$  is not GAC (it has no support), 2 and 3 are GAC.

- **The variable**  $V$  is **generalized arc consistent** with respect to constraint  $P$ , if and only if all values from the current domain of  $V$  are GAC with respect to  $P$ .

**Example:**  $A+B \leq C$ ,  $A$  in  $\{1,2,3\}$ ,  $B$  in  $\{1,2,3\}$ ,  $C$  in  $\{2,3\}$

$C$  is GAC,  $A$  and  $B$  are not GAC

- **The constraint**  $C$  is **generalized arc consistent**, if and only if all variables in  $C$  are GAC.

**Example:** for  $A$  in  $\{1,2\}$ ,  $B$  in  $\{1,2\}$ ,  $C$  in  $\{2,3\}$   $A+B \leq C$  is GAC

- **The constraint satisfaction problem**  $P$  is **generalized arc consistent**, if and only if all the constraints in  $P$  are GAC.

## We will modify AC-3 for non-binary constraints.

- We can see a constraint as a set of propagation methods – each method makes one variable GAC:  
 $A + B = C: A + B \rightarrow C, C - A \rightarrow B, C - B \rightarrow A$
- By executing all the methods, we make the constraint GAC.
- We repeat revisions until any domain changes.

```
procedure GAC-3(G)
```

```
  Q ← {Xs → Y | Xs → Y is a method for some constraint in G}
```

```
  while Q non empty do
```

```
    select and delete (As → B) from Q
```

```
    if REVISE(As → B) then
```

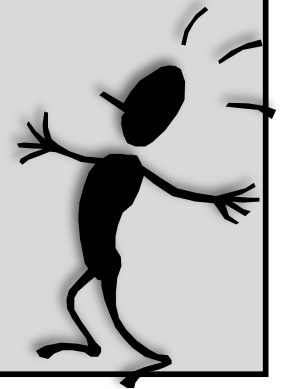
```
      if  $D_B = \emptyset$  then stop with fail
```

```
      Q ← Q ∪ {Xs → Y | Xs → Y is a method s.t.  $B \in X_s$ }
```

```
    end if
```

```
  end while
```

```
end GAC-3
```



Can we achieve GAC **faster than a general GAC algorithm?**

- for example, revision of  $A < B$  can be done much faster via bounds consistency.

Can we write a **filtering algorithm for a constraint** whose **arity varies?**

- for example, `all_different` constraint

We can exploit **semantics of the constraint** for efficient filtering algorithms that can work with any number of variables.

👉 **global constraints** 👈

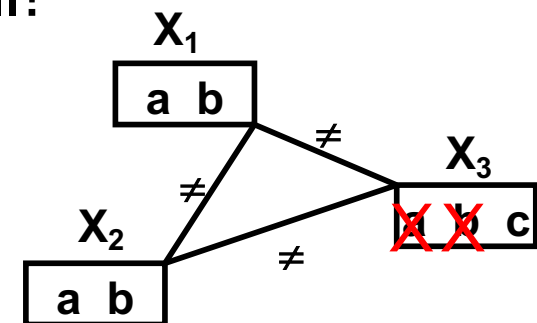
**Logic-based puzzle**, whose goal is to enter digits 1-9 in cells of 9×9 table in such a way, that no digit appears twice or more in every row, column, and 3×3 sub-grid.

9	6	3	1	7	4	2	5	8
1	7	8	3	2	5	6	4	9
2	5	4	6	8	9	7	3	1
8	2	1	4	3	7	5	9	6
4	9	6	8	5	2	3	1	7
7	3	5	9	6	1	8	2	4
5	8	9	7	1	3	4	6	2
3	1	7	2	4	6	9	8	5
6	4	2	5	9	8	1	7	3

## How to model such a problem?

- variables **describe the cells**
- **inequality constraint** connect each pair of variables in each row, column, and sub-grid
- Such constraints do not propagate well!

The constraint network is AC, but we can still remove some values.



recognised puzzle arrived in Japan in the late 1970s by the magazine 'Math Logic Puzzles' under the title 'Sudoku'. It has no requirement to be either symmetrical or squares thought of as another, but differences in He invented Magic Squares had fewer than 100 squares with no letter when they are square. The identification of patterns draws a challenge to solve, rendering any layout obsolete



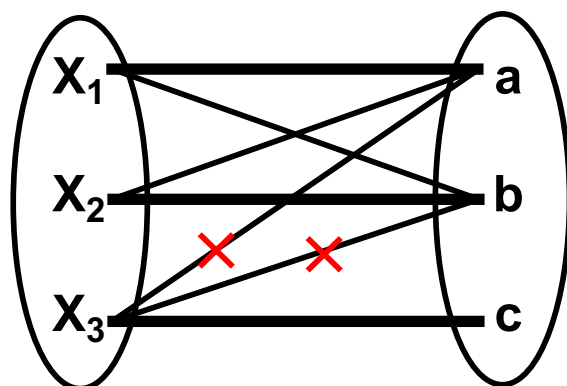


This constraint models a complete set of binary inequalities.

$$\text{all\_different}(\{X_1, \dots, X_k\}) = \{(d_1, \dots, d_k) \mid \forall i \, d_i \in D_i \ \& \ \forall i \neq j \, d_i \neq d_j\}$$

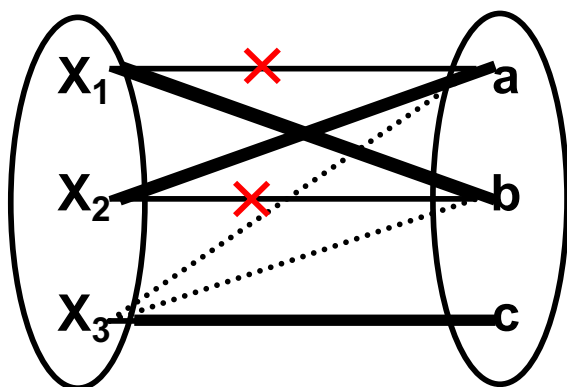
Domain filtering is based on **matching in bipartite graphs**

(nodes = variables+values, edges = description of domains)



### **Initialization:**

- 1) find a maximum matching
- 2) remove all edges that do not belong to any maximum matching



### **Incremental propagation ( $X_1 \neq a$ ):**

- 1) remove "deleted" edges
- 2) find a new maximum matching
- 3) remove all edges that do not belong to any maximum matching



© 2026 Roman Barták

Charles University, Faculty of Mathematics and Physics

[bartak@ktiml.mff.cuni.cz](mailto:bartak@ktiml.mff.cuni.cz)