

# ON HYPERCUBE 3-SPANNERS

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ABSTRACT. A spanning subgraph  $S$  of a graph  $G$  is  $t$ -spanner if every two neighbors in  $G$  have distance at most  $t$  in  $S$ . We show that every 3-spanner of the  $n$ -dimensional hypercube  $Q_n$  has at least  $(2 - o(1))2^n$  edges. On the other hand, there is a 3-spanner of  $Q_n$  with at most  $3.5 \cdot 2^n$  edges. This improves previously known lower and upper bounds on the minimal size of hypercube 3-spanners. Furthermore, we present two constructions that are efficient for small dimensions.

## 1. INTRODUCTION

A spanning subgraph  $S$  of a graph  $G$  is  $t$ -spanner if every two neighbors in  $G$  have distance at most  $t$  in  $S$ . The parameter  $t$  is called *dilation* of the spanner.

Spanners were introduced by Peleg and Ullman [5] in 1989 for a synchronization of asynchronous distributed networks. Since then, they were found interesting for many other applications in interconnection networks and related areas; see for example [3] for further references.

For  $t$ -spanners of the  $n$ -dimensional hypercube  $Q_n$ , as for all bipartite graphs, the smallest non-trivial dilation  $t$  is 3. The study of hypercube 3-spanners was initiated also by Peleg and Ullman [5]. They constructed 3-spanners of  $Q_n$  for every dimension  $n$  with fewer than  $7m$  edges where  $m = |V(Q_n)| = 2^n$ . Although this is asymptotically optimal, a determination of the minimal size of hypercube 3-spanners remains an open problem.

Duckworth and Zito [2] improved the construction from [5] and obtained 3-spanners of  $Q_n$  with at most  $4m$  edges for every  $n$ . Moreover, for  $n = 2^k - 2$  where  $k$  is an integer, they found 3-spanners of  $Q_n$  with at most  $(3 - 2^{2-k})m$  edges. They also established a non-trivial lower bound  $3mn/2(n+3) = (3/2 - o(1))m$  on the minimal size of a 3-spanner of  $Q_n$ .

This paper continues with the investigation of the minimal size of a hypercube 3-spanner. Applying the method from [2] with some additional improvements, it is shown that  $Q_n$  has a 3-spanner with at most  $3.5m$  edges for every  $n$ . On the other hand, we give an improved lower bound of  $m(2n^2 - 5n - 1)/(n^2 + n - 10) = (2 - o(1))m$  edges in any 3-spanner  $S$  of  $Q_n$ . This bound is achieved by analyzing vertex degrees in  $S$ . Last but not least, two constructions that give efficient hypercube 3-spanners for small dimensions are presented. The latter one is based on two component 1-factors from the equitable 1-factorization of a Hamming shell by Dejter [1].

## 2. PRELIMINARIES

The  $n$ -dimensional *hypercube*  $Q_n$  is a graph on all binary vectors of length  $n$  as vertices, and with edges joining vertices that differ in exactly one coordinate. It has  $n2^{n-1}$  edges. Let  $e_1, \dots, e_n$  denote the vertices with only one 1; on the  $i$ -th coordinate in  $e_i$ . An edge  $\{u, v\} \in E(Q_n)$  has *direction*  $i$  if  $u \oplus v = e_i$ , where  $u \oplus v$  is vector addition mod 2. For a set  $A \subseteq V(Q_n)$ , define  $A \oplus e_i = \{u \oplus e_i \mid u \in A\}$ . A *weight*  $w(u)$  of a vertex  $u \in V(Q_n)$  is the number of 1's in  $u$ . A vertex is *even* (respectively *odd*) if it has an even (respectively odd) weight. Even and odd vertices form bipartite sets of  $Q_n$ .

For any two vertices  $u, v \in V(Q_n)$ , the subgraph of  $Q_n$  induced by all shortest paths between  $u$  and  $v$  forms a subcube  $C$  of dimension equal to the distance of  $u$  and  $v$ . We call  $C$  the *subcube between  $u$  and  $v$* .

For  $n = r_1 + r_2$ , the hypercube  $Q_n$  can be considered as a Cartesian product of hypercubes  $Q_{r_1}$  and  $Q_{r_2}$ . Every vertex  $u \in V(Q_n)$  can be represented as a concatenation  $u = xy$  of vertices

$x \in V(Q_{r_1})$  and  $y \in V(Q_{r_2})$ . For every  $x \in V(Q_{r_1})$ , there is a separate copy of  $Q_{r_2}$  in  $Q_n$  corresponding to the vertex  $x$ ; similarly for  $y \in V(Q_{r_2})$ .

Let  $d_G(u)$  be the degree of a vertex  $u$  in a graph  $G$ , let  $d_G(u, v)$  be the distance of vertices  $u$  and  $v$  in  $G$ , and let  $N_G(u)$  be the set of neighbors of  $u$  in  $G$ . The index referring to the underlying graph is omitted if it is clear from the context. A set  $A \subseteq V(G)$  is a *dominating set* of  $G$  if every vertex is in  $A$  or has a neighbor in  $A$ . A dominating set  $A$  is *perfect* if every vertex is either in  $A$  or has a unique neighbor in  $A$ .

Let  $v_r(i)$  denote the binary vector of length  $r$  that represents an integer  $i < 2^r$ . For  $n = 2^r - 1$ , consider the  $r \times n$  matrix  $M_r$  whose columns are all the distinct nonzero binary vectors of length  $r$ . A *Hamming code* of length  $n$  [4] is the set of all binary vectors of length  $n$  that are orthogonal to all the rows of  $M_r$ . Suppose that the  $i$ -th column in  $M_r$  is  $v_r(i)$ . Then let  $H_n$  be the corresponding Hamming code. Other Hamming codes are isomorphic via a permutation of their coordinates.

It is well-known that  $H_n$  is a perfect one-error-correcting code; that is, a perfect dominating set in  $Q_n$ . It has size  $2^n/(n+1)$  and minimal distance 3. For a pair  $u, v \in H_n$  with  $d(u, v) = 3$ , consider the triple  $\{i, j, k\}$  such that  $u \oplus v = e_i \oplus e_j \oplus e_k$ . Note that  $u \oplus v \in H_n$ , since  $H_n$  is a linear code. The set  $L_n$  of all such triples forms a *Steiner triple system*; that is, every pair  $\{i, j\}$  is contained in exactly one triple. Moreover,  $\{i, j, k\} \in L_n$  if and only if  $v_r(i) \oplus v_r(j) \oplus v_r(k) = 0$ .

The complement  $\Sigma_n = Q_n \setminus H_n$  of the Hamming code  $H_n$  in the hypercube  $Q_n$  is called a *Hamming shell*.

Let  $[n]$  denote the set  $\{1, 2, \dots, n\}$ .

### 3. LOWER BOUND

We start with a local analysis of degrees in any hypercube 3-spanner.

**Lemma 1.** *Let  $S$  be a 3-spanner of  $Q_n$ . For any  $u \in V(Q_n)$ ,*

$$\sum_{v \in N_S(u)} d_S(v) \geq n.$$

*Proof.* Let  $A \subseteq E(S)$  be the set of edges incident with  $N_S(u)$ . Thus  $|A| = \sum_{v \in N_S(u)} d_S(v)$ . Suppose on the contrary that  $|A| < n$ . Then for some  $i \in [n]$ , the set  $A$  contains no edge with the direction  $i$ . Hence  $d_S(u, u \oplus e_i) > 3$  and therefore  $S$  is not a 3-spanner of  $Q_n$ .  $\square$

We immediately obtain a global relation between the number of vertices with degree  $n$  and with degree 1.

**Lemma 2.** *A 3-spanner  $S$  of  $Q_n$  contains no more vertices with degree 1 than vertices with degree  $n$ .*

*Proof.* If a vertex  $u$  has degree 1, then his only neighbor  $v$  in  $S$  has degree  $n$  by Lemma 1. On the other hand, since  $S$  is a 3-spanner, any vertex  $v$  can be adjacent in  $S$  to at most one vertex  $u$  with degree 1.  $\square$

This allows us to establish the following bound on the sum of squares of all vertex degrees.

**Lemma 3.** *Let  $S$  be a 3-spanner of  $Q_n$  with  $s$  edges,  $n \geq 4$ . Then*

$$\sum_{u \in V(Q_n)} d_S^2(u) \leq \frac{2s(n^2 - 7) - 2^{n+1}(n^2 - 2n - 1)}{n - 3}.$$

*Proof.* Put  $D = \sum_{u \in V(Q_n)} d_S^2(u)$  and let  $x$  and  $y$  be the numbers of vertices with degree  $n$  and degree 1 respectively, so  $x \geq y$  by Lemma 2. We bound  $D$  over all distributions of degrees from  $[n]$  such that  $x \geq y$ .

Since  $\sum_{u \in V(Q_n)} d_S(u) = 2s$  is fixed,  $D$  is bounded by the case when  $x$  is maximal,  $y = x$ ,  $2^n - 2x - 1$  vertices have degree 2, and the remaining vertex has a degree  $r$  where  $2 \leq r \leq n - 2$ . Then

$$2s = xn + 2^{n+1} - 4x - 2 + x + r = x(n - 3) + 2^{n+1} - 2 + r$$

where  $2 \leq r \leq n - 2$ , so

$$x = \left\lfloor \frac{2s - 2^{n+1}}{n - 3} \right\rfloor, \quad r = 2 + 2s - 2^{n+1} - x(n - 3).$$

We have

$$D \leq n^2x + 4(2^n - 2x - 1) + x + r^2 = x(n^2 - 7) + 2^{n+2} + r^2 - 4.$$

To reduce  $r$ , put

$$x' = \frac{2s - 2^{n+1}}{n - 3} \text{ or equivalently } x' = x + \frac{r - 2}{n - 3}.$$

Since

$$r^2 - 4 \leq \frac{r - 2}{n - 3}(n^2 - 7) \text{ for } n \geq 4,$$

we conclude that

$$D \leq x'(n^2 - 7) + 2^{n+2} = \frac{2s(n^2 - 7) - 2^{n+1}(n^2 - 2n - 1)}{n - 3}.$$

□

The lower bound follows by counting the number of so called useful vertices.

**Theorem 1.** *Let  $S$  be a 3-spanner of  $Q_n$  with  $s$  edges. Then*

$$s \geq 2^n \frac{2n^2 - 5n - 1}{n^2 + n - 10} = 2^n(2 - o(1)).$$

*Proof.* We say that a vertex  $u$  is *useful* for an edge  $\{x, y\} \in E(Q_n) \setminus E(S)$  if  $u$  belongs to a path in  $S$  of length 3 between  $x$  and  $y$  but  $u \notin \{x, y\}$ . Since  $S$  is a 3-spanner, every edge  $\{x, y\} \in E(Q_n) \setminus E(S)$  has at least 2 useful vertices. On the other hand, every vertex  $u$  can be useful for at most  $\binom{d_S(u)}{2}$  edges. So

$$\sum_{u \in V(Q_n)} \binom{d_S(u)}{2} \geq 2(|E(Q_n)| - s).$$

Since  $\sum_{u \in V(Q_n)} d_S(u) = 2s$ , we obtain

$$\sum_{u \in V(Q_n)} d_S^2(u) + 2s \geq n2^{n+1}.$$

Applying Lemma 3 and after few steps it follows that

$$s \geq 2^n \frac{2n^2 - 5n - 1}{n^2 + n - 10} \text{ for } n \geq 4,$$

whereas for  $n \leq 3$  this bound is tight, by a simple case-analysis. □

#### 4. UPPER BOUND

First we recall the method from [5]. Let  $D_1$  and  $D_2$  be dominating sets of hypercubes  $Q_{r_1}$  and  $Q_{r_2}$  respectively. For  $n = r_1 + r_2$ , we can construct a 3-spanner  $S$  of  $Q_n$  consisting of all the edges of the form

- type (1):  $\{xy, xy'\} \mid y \in D_2 \text{ and } \{y, y'\} \in E(Q_{r_2}),$
- type (2):  $\{xy, x'y\} \mid x \in D_1 \text{ and } \{x, x'\} \in E(Q_{r_1}),$
- type (3):  $\{xy, xy'\} \mid x \in D_1 \text{ and } \{y, y'\} \in E(Q_{r_2}),$
- type (4):  $\{xy, x'y\} \mid y \in D_2 \text{ and } \{x, x'\} \in E(Q_{r_1}).$

Note that every vertex  $u = xy$  with  $x \in D_1$  or  $y \in D_2$  has degree  $n$  in  $S$ , while other vertices have degree at least 2 in  $S$  (equality holds if  $D_1$  and  $D_2$  are perfect). Also note that the edges emanating from a vertex  $xy$  with  $x \in D_1$  and  $y \in D_2$  are either both of type (1) and (3), or both of type (2) and (4).

To verify that  $S$  is a 3-spanner of  $Q_n$ , assume that we have an edge of the form  $\{xy, xy'\} \in E(Q_n) \setminus E(S)$ . Then  $x \notin D_1$ , otherwise it is an edge of type (3). Thus  $x$  has a neighbor  $\bar{x} \in D_1$ . Then  $xy, \bar{x}y, \bar{x}y', xy'$  is an induced path in  $S$  of length 3. Similarly for an edge of the form  $\{xy, x'y\} \in E(Q_n) \setminus E(S)$ .

Applying this method on two hypercubes  $Q_{n/2}$  with perfect dominating sets, we obtain a result from [2] which we restate with the proof.

**Lemma 4.** [2] *Let  $n = 2^k - 2$  where  $k$  is an integer. The hypercube  $Q_n$  has a 3-spanner with  $2^n(3 - 10/(n+2) + 8/(n+2)^2)$  edges.<sup>1</sup>*

*Proof.* Recall that for  $r = n/2$ , the hypercube  $Q_r$  has a perfect dominating set of size  $2^r/(r+1)$ . Let  $S$  be the 3-spanner of  $Q_n$  constructed above. Counting precisely the number of edges in  $S$  we have

$$\begin{aligned} \text{type (1) only: } & \frac{r2^r}{r+1} \left(2^r - \frac{2^r}{r+1}\right), \quad \text{type (2) only: } \frac{r2^r}{r+1} \left(2^r - \frac{2^r}{r+1}\right), \\ \text{type (3): } & \frac{r2^r 2^{r-1}}{r+1}, \quad \text{type (4): } \frac{r2^r 2^{r-1}}{r+1}. \end{aligned}$$

Altogether we have

$$\begin{aligned} |E(S)| &= \frac{2r2^r}{r+1} \left(2^r - \frac{2^r}{r+1}\right) + \frac{2r2^r 2^{r-1}}{r+1} = 2^{2r} \frac{r(3r+1)}{(r+1)^2} = \\ &= 2^{2r} \left(3 - \frac{5}{r+1} + \frac{2}{(r+1)^2}\right) = 2^n \left(3 - \frac{10}{n+2} + \frac{8}{(n+2)^2}\right). \end{aligned}$$

□

It is worth stating that Lemma 4 can be slightly improved simply by omitting some edges.

**Lemma 5.** *Let  $n = 2^k - 2$  where  $k$  is an integer. The hypercube  $Q_n$  has a 3-spanner with  $2^n(3 - 14/(n+2) + 20/(n+2)^2)$  edges.*

*Proof.* Let  $S$  be the 3-spanner constructed by Lemma 4. Consider a vertex  $u = xy$  with  $x \in D_1$  and  $y \in D_2$ , where  $D_1$  and  $D_2$  are the dominating sets of  $Q_r$  used in the construction,  $r = n/2$ . Recall that  $d_S(u) = n$  and  $d_S(v) = n$  for any neighbor  $v$  of  $u$ .

After removing all but one edge emanating from  $u$ , we still have a 3-spanner  $S'$  of  $Q_n$ . Let  $\{xy, xy'\} \in E(S)$ , where  $y' = y \oplus e_1$ , be the edge that remains in  $S'$ . There are  $2^r 2^r / (r+1)^2$  such vertices  $u = xy$ , so

$$\begin{aligned} E(S') &= E(S) - \frac{2^r 2^r}{(r+1)^2} (n-1) = 2^{2r} \frac{3r^2 - r + 1}{(r+1)^2} = \\ &= 2^{2r} \left(3 - \frac{7}{r+1} + \frac{5}{(r+1)^2}\right) = 2^n \left(3 - \frac{14}{n+2} + \frac{20}{(n+2)^2}\right). \end{aligned}$$

□

Let  $D_S = \{xy \mid x \in V(Q_r), y \in D_2\}$  and  $D_{S'} = D_S \setminus \{xy \mid x \in D_1, y \in D_2\} \cup \{xy' \mid x \in D_1, y \in D_2, y' = y \oplus e_1\}$ . Sets  $D_S$  and  $D_{S'}$  are dominating sets of 3-spanners  $S$  and  $S'$ . They both have  $2^n/(r+1) = 2^{n+1}/(n+2)$  vertices.

The key idea in the further improvement is to apply the same method also for hypercubes  $Q_{r_1}$  and  $Q_{r_2}$  with perfect dominating sets but different dimensions  $r_1$  and  $r_2$ .

**Lemma 6.** *Let  $n = 3 \cdot 2^k - 2$  where  $k$  is an integer. The hypercube  $Q_n$  has a 3-spanner with  $2^{n-2}(13 - 54/(n+2) + 63/(n+2)^2)$  edges.*

<sup>1</sup>In the original paper [2] it was "at most  $2^n(3 - 4/(n+2))$ " which follows from the fact that  $8/(n+2)^2 \leq 6/(n+2)$  for all  $n \geq 1$ .

*Proof.* Put  $r_1 = 2^k - 1 = (n-1)/3$  and  $r_2 = 2^{k+1} - 1 = 2r_1 + 1 = (2n+1)/3$ . Hypercubes  $Q_{r_1}$  and  $Q_{r_2}$  have perfect dominating sets  $D_1$  and  $D_2$  of sizes  $2^{r_1}/(r_1+1)$  and  $2^{r_2}/(r_2+1) = 2^{2r_1}/(r_1+1)$  respectively. Let  $\bar{S}$  be the 3-spanner of  $Q_n$  obtained from the construction above. Counting the number of edges we have

$$\begin{aligned} \text{type (1) only: } & \frac{r_2 2^{r_2}}{r_2+1} \left( 2^{r_1} - \frac{2^{r_1}}{r_1+1} \right) = \frac{r_1 (2r_1+1) 2^{3r_1}}{(r_1+1)^2}, \\ \text{type (2) only: } & \frac{r_1 2^{r_1}}{r_1+1} \left( 2^{r_2} - \frac{2^{r_2}}{r_2+1} \right) = \frac{r_1 (2r_1+1) 2^{3r_1}}{(r_1+1)^2}, \\ \text{type (3): } & \frac{r_2 2^{r_1} 2^{r_2-1}}{r_2+1} = \frac{(2r_1+1) 2^{3r_1}}{r_1+1}, \quad \text{type (4): } \frac{r_1 2^{r_2} 2^{r_1-1}}{r_2+1} = \frac{r_1 2^{3r_1-1}}{r_1+1}. \end{aligned}$$

As in Lemma 5, we can remove  $2^{r_1} 2^{r_2} (n-1) / ((r_1+1)(r_2+1)) = 3r_1 2^{3r_1} / (r_1+1)^2$  edges. Altogether we have

$$\begin{aligned} E(\bar{S}) &= \frac{2r_1(2r_1+1)2^{3r_1}}{(r_1+1)^2} + \frac{(2r_1+1)2^{3r_1}}{r_1+1} + \frac{r_1 2^{3r_1-1}}{r_1+1} - \frac{3r_1 2^{3r_1}}{(r_1+1)^2} = \\ &= 2^{3r_1-1} \frac{13r_1^2 + 8r_1 + 2}{(r_1+1)^2} = 2^{3r_1-1} \left( 13 - \frac{18}{r_1+1} + \frac{7}{(r_1+1)^2} \right) = \\ &= 2^{n-2} \left( 13 - \frac{54}{n+2} + \frac{63}{(n+2)^2} \right). \end{aligned}$$

□

Let  $D_{\bar{S}}$  be the dominating set of  $\bar{S}$  obtained in a similar way as  $D_{S'}$ . It has  $2^{r_1} 2^{r_2} / (r_2+1) = 3 \cdot 2^{n-1} / (n+2)$  vertices.

Now we combine previous two lemmas with the method from [2] to construct hypercube 3-spanners for general dimensions.

Consider the hypercube  $Q_n$  as a Cartesian product of  $Q_r$  and  $Q_{n-r}$  where  $r < n$ . Assume that we have a 3-spanner  $S_r$  of  $Q_r$  with a dominating set  $D$ . Then we can construct a 3-spanner  $S_n$  of  $Q_n$  by taking  $S_r$  in each copy of  $Q_r$  and by adding, for each  $x \in D$ , a full copy of  $Q_{n-r}$  corresponding to the vertex  $x$ .

To verify that  $S_n$  is a 3-spanner of  $Q_n$ , assume first that we have an edge of the form  $\{xy, x'y\} \in E(Q_n) \setminus E(S_n)$ . Then there is an induced path of length 3 between  $xy$  and  $x'y$  in a copy of  $S_r$  in the hypercube  $Q_r$  corresponding to the vertex  $y$ . Secondly, for an edge of the form  $\{xy, xy'\} \in E(Q_n) \setminus E(S_n)$ , we have  $x \notin D$ . Thus  $x$  has a neighbor  $\bar{x} \in D$ . Then  $xy, \bar{x}y, \bar{x}y', xy'$  is an induced path in  $S_n$  of length 3.

**Theorem 2.** *For every  $n \geq 1$ , the hypercube  $Q_n$  has a 3-spanner with at most  $3.5 \cdot 2^n$  edges.*

*Proof.* Let  $k = \lceil \log_2(n+2) \rceil$ , so  $2^k - 2 \leq n < 2^{k+1} - 2$ . We have two cases.

- (1)  $2^k - 2 \leq n < 3 \cdot 2^{k-1} - 2$ . Let  $r = 2^k - 2$  so  $n - r \leq 2^{k-1} - 1$ . Applying Lemma 5 and the idea above we obtain a 3-spanner  $S$  of  $Q_n$  of size

$$\begin{aligned} |E(S)| &= |E(S')| \cdot 2^{n-r} + |D_{S'}| \cdot |E(Q_{n-r})| = \\ &= 2^r \left( 3 - \frac{14}{r+2} + \frac{20}{(r+2)^2} \right) 2^{n-r} + \frac{2^{r+1}}{r+2} (n-r) 2^{n-r-1} \\ &\leq 2^n \left( 3.5 - \frac{15}{r+2} + \frac{20}{(r+2)^2} \right) \text{ since } \frac{n-r}{r+2} \leq \frac{1}{2} - \frac{1}{r+2}, \\ &\leq 3.5 \cdot 2^n. \end{aligned}$$

- (2)  $3 \cdot 2^{k-1} - 2 \leq n < 2^{k+1} - 2$ . Let  $r = 3 \cdot 2^{k-1} - 2$  so  $n - r \leq 2^{k-1} - 1$ . Applying Lemma 6 and the idea above we obtain a 3-spanner  $S$  of  $Q_n$  of size

$$\begin{aligned} |E(S)| &= |E(\bar{S})| \cdot 2^{n-r} + |D_{\bar{S}}| \cdot |E(Q_{n-r})| = \\ &= 2^{r-2} \left( 13 - \frac{54}{r+2} + \frac{63}{(r+2)^2} \right) 2^{n-r} + \frac{3 \cdot 2^{r-1}}{r+2} (n-r) 2^{n-r-1} \\ &\leq 2^{n-2} \left( 14 - \frac{57}{r+2} + \frac{63}{(r+2)^2} \right) \text{ since } \frac{n-r}{r+2} \leq \frac{1}{3} - \frac{1}{r+2}, \\ &\leq 3.5 \cdot 2^n. \end{aligned}$$

□

## 5. CONSTRUCTION FOR SMALL DIMENSION

In this section we present two constructions that give efficient hypercube 3-spanners for small dimension. This is motivated by the fact that the dimension is bounded in most hypercube applications. However, these constructions use more than a linear number of edges with respect to the number of vertices.

**Lemma 7.** *For  $n \geq 3$ , the hypercube  $Q_n$  has a 3-spanner with  $2^n(n+5)/8$  edges.*

*Proof.* For  $n = 3$ , let  $A \subseteq E(Q_3)$  be the set of all edges incident with the Hamming code  $H_3 = \{000, 111\}$ . Observe that the set  $E(S) = A \cup \{\{010, 110\}, \{001, 101\}\}$  forms a 3-spanner  $S$  of  $Q_3$  of size 8.

For  $n > 3$ , the hypercube  $Q_n$  can be considered as a Cartesian product of  $Q_3$  and  $Q_{n-3}$ . In each copy of  $Q_3$  take a 3-spanner  $S$  and add two full copies of  $Q_{n-3}$  corresponding to vertices in  $H_3$ . We obtain a 3-spanner of  $Q_n$  of size

$$8 \cdot 2^{n-3} + 2(n-3)2^{n-4} = 2^n \frac{n+5}{8}.$$

□

**Lemma 8.** *For  $n \geq 7$ , the hypercube  $Q_n$  has a 3-spanner with  $2^n(n+21)/16$  edges.*

*Proof.* For  $n = 7$ , we have the Hamming code and the Steiner triple system:

$$\begin{aligned} H_7 &= \{1110000, 0101010, 0010110, 0011001, 1001100, 1000011, 0100101, 0000000, \\ &\quad 0001111, 1010101, 1101001, 1100110, 0110011, 0111100, 1011010, 1111111\}, \\ L_7 &= \{\{1, 2, 3\}, \{2, 4, 6\}, \{3, 5, 6\}, \{3, 4, 7\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\}\}. \end{aligned}$$

Define permutations  $s$  and  $z$  of  $[7]$  by the following table

$$\begin{aligned} i &= 1, 2, 3, 4, 5, 6, 7, \\ s(i) &= 2, 4, 6, 3, 1, 7, 5, \\ z(i) &= 3, 6, 5, 7, 4, 1, 2. \end{aligned}$$

Note that  $L_n = \{\{i, s(i), z(i)\} \mid i \in [7]\}$ . Let  $A$  be the set of all edges incident with the Hamming code  $H_n$ . For  $i \in [7]$  define sets

$$B_i = \{\{u \oplus e_{s(i)}, u \oplus e_{s(i)} \oplus e_i\} \in E(Q_7) \mid u \in H_7\},$$

i.e.  $B_i$  is the set of edges with direction  $i$  from  $H_n \oplus e_{s(i)}$ . Observe that we could replace  $s(i)$  with  $z(i)$  in the definition of  $B_i$  since  $H_n \oplus e_i \oplus e_{s(i)} \oplus e_{z(i)} = H_n$  for all  $i \in [7]$ . Put  $B = \bigcup_{i=1}^n B_i$ . We claim that the set  $E(S') = A \cup B$  forms a 3-spanner  $S'$  of  $Q_7$  of size 224.

Assume that we have an edge  $\{x, y\} \in E(Q_7) \setminus A$ . It follows that  $x, y \notin H_7$ . Let  $u$  and  $v$  be the neighbors from  $H_7$  of  $x$  and  $y$  respectively. Since  $d_{Q_7}(u, v) = 3$ , there is a unique  $i \in [7]$  such

that  $u \oplus v = e_i \oplus e_{s(i)} \oplus e_{z(i)}$ . Denote by  $C$  the subcube of dimension 3 in  $Q_7$  between  $u$  and  $v$ , and consider the subgraph  $S' \cap C$ . It contains edges

$$E(A \cap C) = \{\{w, w \oplus e_j\} \mid w \in \{u, v\}, j \in \{i, s(i), z(i)\}\}, \text{ and}$$

$$E(B_i \cap C) = \{\{u \oplus e_{s(i)}, u \oplus e_{s(i)} \oplus e_i\}, \{v \oplus e_{s(i)}, v \oplus e_{s(i)} \oplus e_i\}\}.$$

Observe that  $S' \cap C$  is isomorphic to the 3-spanner  $S$  of  $Q_3$  from Lemma 7. We conclude that  $d_{S'}(x, y) = d_S(x, y) \leq 3$  since  $x, y \in V(C)$ . Counting the number of edges we have  $|E(S')| = 14|H_7| = 224$ .

For  $n > 7$ , the hypercube  $Q_n$  can be considered as a Cartesian product of  $Q_7$  and  $Q_{n-7}$ . In each copy of  $Q_7$  take a 3-spanner  $S'$  and add 16 full copies of  $Q_{n-7}$  corresponding to vertices in  $H_7$ . We obtain a 3-spanner of  $Q_n$  of size

$$224 \cdot 2^{n-7} + 16(n-7)2^{n-8} = 2^n \frac{n+21}{16}.$$

□

## 6. CONCLUSIONS

Comparing Lemma 7, Lemma 8 and the construction in Theorem 2, we obtain that 3-spanners of  $Q_n$  with the fewest edges are provided by Lemma 7 when  $3 \leq n \leq 11$ , by Lemma 8 when  $11 \leq n \leq 22$ , and by Theorem 2 when  $n \geq 23$ . Note that when  $n = 11$ , both Lemma 7 and Lemma 8 give 3-spanners of  $Q_n$  with  $2^{12}$  edges.

The set  $B$  from the proof of Lemma 8 is a 2-factor of the Hamming shell  $\Sigma_7$ . It consists of two 1-factors  $B^1 = \bigcup_{i=1}^n B_i^1$  and  $B^2 = \bigcup_{i=1}^n B_i^2$  where

$$B_i^1 = \{\{u \oplus e_{s(i)}, u \oplus e_{s(i)} \oplus e_i\} \in E(Q_n) \mid u \in H_n, u \text{ is even}\},$$

$$B_i^2 = \{\{u \oplus e_{z(i)}, u \oplus e_{z(i)} \oplus e_i\} \in E(Q_n) \mid u \in H_n, u \text{ is even}\}.$$

The sets  $B^1$  and  $B^2$  are component 1-factors from the equitable 1-factorization of Hamming shells given by Dejter [1] for all  $n = 2^k - 1$ , where  $k$  is an integer. Lemma 8 could be generalized also for  $n = 2^k - 1$  with  $k > 3$  by adding to the set  $B$  more 1-factors from this 1-factorization. However, the Steiner triple system  $L_n$  contains  $n(n-1)/6$  triples, so we would need at least  $(n-1)/3$  1-factors which would result into an inefficient 3-spanner.

Although a further improvement of the general upper bound seems difficult with the methods used so far, a new construction may arise from the closely related coding theory. On the other hand, exploring larger neighborhoods or counting the number of vertices of small degrees may improve the lower bound.

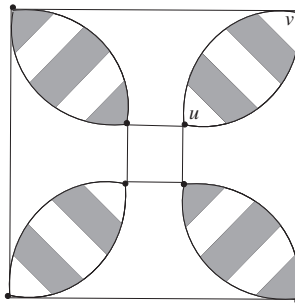


FIGURE 1. A 3-spanner of  $Q_7$  that is not an additive 4-spanner. The edges between the copies of  $Q_5$  are all in the spanner, but are omitted in the picture, except the edges from vertices  $u$  and  $v$ . The gray areas represent all edges between two consecutive levels of  $Q_5$ .

A spanner  $S$  of a graph  $G$  has the *delay*  $c$  if  $d_S(u, v) \leq d_G(u, v) + c$  for any pair of vertices  $u$  and  $v$ . Such spanners are called *additive  $c$ -spanners*. It is not difficult to verify that all hypercube 3-spanners constructed in this paper are actually additive 4-spanners. This does not hold in general.

An example of a 3-spanner of  $Q_7$  that is not an additive 4-spanner is depicted on Figure 1. The vertices  $u$  and  $v$  have  $d_{Q_7}(u, v) = 5$  but  $d_S(u, v) = 11$ .

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